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# Boundedness of derivatives and $\varphi$ -normal functions

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**Abstract.** The aim of this paper is to study the families of normal and  $\varphi$ -normal functions on the unit disk  $\mathbb{D}$ , and to generalize some normal function criteria of Xu and Qiu [*An avoidance criterion for normal functions, C. R. Math* 349(2011), 1159-1160] and Yang [*A note on the avoidance criterion for normal functions, Anal. Math. Phys.* 10, 35(2020)] to the case where derivatives are bounded from above on zero sets.

#### 1. Introduction and Main Results

For the sake of convenience we shall denote by  $\mathcal{M}(D)$  the family of all functions meromorphic in a domain D in  $\mathbb{C}$ . A subfamily  $\mathcal{F}$  of  $\mathcal{M}(D)$  is said to be normal in D, in the sense of Montel, if every sequence of elements of  $\mathcal{F}$  contains a subsequence which converges locally uniformly in D with respect to the spherical metric to a meromorphic function or  $\infty$ . One of the key results of normal families is the Marty's theorem which says that a subfamily of  $\mathcal{F}$  of  $\mathcal{M}(D)$  is normal in D if and only if the family  $\{f^{\#} : f \in \mathcal{F}\}$  of the corresponding spherical derivatives  $f^{\#} := \frac{|f'|}{1+|f|^2}$  is locally bounded in D.

A meromorphic function f on unit disc  $\mathbb{D}$  is said to be *normal* in  $\mathbb{D}$  if and only if the family  $\mathcal{F} := \{fo\tau : \tau \in \mathcal{T}\}$  is normal in  $\mathbb{D}$ , where  $\mathcal{T}$  denotes the set of all conformal self maps of  $\mathbb{D}$ .

The starting point for this paper is the following two results due to Y. Xu and H. Qiu [9]:

**Theorem A** Let f be a meromorphic function in the unit disc  $\mathbb{D}$ ,  $\psi_1, \psi_2$  and  $\psi_3$  be three functions meromorphic in  $\mathbb{D}$  and continuous on closure of  $\mathbb{D}$  such that  $\psi_i \neq \psi_j$  ( $1 \leq i < j \leq 3$ ) on the unit circle |z| = 1. If  $f(z) \neq \psi_i(z)$  (i = 1, 2, 3) in  $\mathbb{D}$ , then f is normal.

**Theorem B** Let f be a meromorphic function in the unit disc  $\mathbb{D}$ ,  $\psi_1, \psi_2$  and  $\psi_3$  be three functions meromorphic in  $\mathbb{D}$  and continuous on closure of  $\mathbb{D}$  such that  $\psi_i \neq \psi_j$  ( $1 \leq i < j \leq 3$ ) on the unit circle |z| = 1, and let  $l_1, l_2, l_3$  (possibly  $+\infty$ ) be three positive integers with  $1/l_1 + 1/l_2 + 1/l_3 < 1$ . If all the zeros of  $f(z) - \psi_i(z)$  have multiplicity at least  $l_i$  for i = 1, 2, 3 in  $\mathbb{D}$ , then f is normal.

Often a theorem which assuming that a function does not vanish or vanishes to sufficiently large multiplicities can be strengthened by assuming that whenever it vanishes, their derivatives are bounded

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from above on zero sets. Here, in this paper we prove the following criterion for a normal function under a boundedness condition of the derivatives and hence obtain a generalization of above-mentioned results of Xu and Qiu:

**Theorem 1.1.** Let f be a meromorphic function in the unit disc  $\mathbb{D}$  and let M > 0 be a constant. Assume that there are

- 1. positive integer  $l_1, l_2, \dots, l_q$  (possibly  $+\infty$ ) satisfying  $\sum_{j=1}^q 1/l_j < q-2$ ,
- 2. meromorphic functions  $\psi_1, \psi_2, \dots, \psi_q$  in  $\mathbb{D}$ , continuous on closure of  $\mathbb{D}$  such that  $\psi_i(z) \neq \psi_j(z)$   $(1 \le i < j \le q)$  on the unit circle |z| = 1, and
- 3. sets  $A_f = \bigcup_{i=1}^q \{z \in \mathbb{D} : f(z) = \psi_i(z) \neq \infty\}$  and  $B_f = \bigcup_{i=1}^q \{z \in \mathbb{D} : f(z) = \psi_i(z) = \infty\}$  such that

$$|f^{(k)}(z)| \le M \text{ on } A_f$$
 ,  $\left| \left( \frac{1}{f} \right)^{(k)}(z) \right| \le M \text{ on } B_f$ 

for all  $k = 1, 2, \cdots, l_i - 1$ .

*Then* f *is normal in*  $\mathbb{D}$ *.* 

One of the most important results characterizing normal functions in terms of their spherical derivatives was due to Lehto and Virtanen [4]. They modified Marty's criterion for a normal family to give a criterion for a function to be normal: A necessary and sufficient condition for a meromorphic function f on unit disc  $\mathbb{D}$  to be normal is

$$\sup_{z\in\mathbb{D}}(1-|z|^2)f^{\#}(z)<\infty.$$

Clearly, if f is a normal function on  $\mathbb{D}$ , then there exist a constant  $C_f$  (depending on f) such that  $(1 - |z|^2)f^{\#}(z) < C_f$  for each  $z \in \mathbb{D}$ . Recently, L. Yang [10] improved Theorem A for the families of meromorphic functions and obtained a constant C that depends only on the three fixed omitted meromorphic functions. Precisely, he proved:

**Theorem C** Let  $\psi_1, \psi_2$  and  $\psi_3$  be three functions meromorphic in the unit disc  $\mathbb{D}$  and continuous on closure of  $\mathbb{D}$  such that  $\psi_i \neq \psi_j$  ( $1 \leq i < j \leq 3$ ) on the unit circle |z| = 1. Let  $\mathcal{F}$  be a subfamily of  $\mathcal{M}(\mathbb{D})$  such that  $f(z) \neq \psi_i(z)$  (i = 1, 2, 3) in  $\mathbb{D}$ , for all  $f \in \mathcal{F}$ . Then there exists a constant C such that

$$(1 - |z|^2)f^{\#}(z) \le C$$

for each  $z \in \mathbb{D}$  and  $f \in \mathcal{F}$ .

**Remark.** It is worthwhile to mention the conclusion of Theorem C is nothing but the definition of uniformly normal family (see [6]). That is, if there is a constant *C* such that

$$\sup_{z \in \mathbb{D}} (1 - |z|^2) f^{\#}(z) < C$$

for each  $z \in \mathbb{D}$  and  $f \in \mathcal{F}$ , then  $\mathcal{F}$  is uniformly normal family in  $\mathbb{D}$ .

We prove the following improvement of Theorem C to the case where omitted functions are allowed to vary with the functions in family  $\mathcal{F}$  and satisfy a condition on the spherical distance:

**Theorem 1.2.** Let  $\mathcal{F}$  be a subfamily of  $\mathcal{M}(\mathbb{D})$  and  $\epsilon > 0$ . Assume that for each  $f \in \mathcal{F}$  there exists meromorphic functions  $a_f, b_f, c_f$  (possibly  $+\infty$ ) such that  $f \neq a_f, b_f, c_f$  in  $\mathbb{D}$  and

$$\min\{\sigma(a_f(z), b_f(z)), \sigma(b_f(z), c_f(z)), \sigma(c_f(z), a_f(z))\} \ge \epsilon$$

in  $\mathbb{D}$ , where  $\sigma$  denotes the spherical metric on extended complex plane  $\overline{\mathbb{C}}$ . Then  $\mathcal{F}$  is uniformly normal family in  $\mathbb{D}$ .

Finally, we extend Theorem *C* for  $\varphi$ -normal functions, a concept that was an extension of normal function introduced by Aulaskari and Rattya [1] with the help of smoothly increasing function  $\varphi : [0, 1) \rightarrow (0, \infty)$  satisfying  $\varphi(r)(1 - r) \rightarrow \infty$  as  $r \rightarrow 1^-$ , and

$$\mathcal{R}_a(z) := \frac{\varphi(|a+z/\varphi(|a|))}{\varphi(|a|)} \to 1, \ |a| \to 1^-,$$

uniformly on compact subsets of  $\mathbb{C}$ . For such function  $\varphi$ , a meromorphic function f on unit disc  $\mathbb{D}$  is said to be  $\varphi$ -normal if

$$\sup_{z\in\mathbb{D}}\frac{f^{\#}(z)}{\varphi(|z|)}<\infty.$$

Clearly, if  $\mathcal{F}$  is a subfamily of  $\mathcal{M}(\mathbb{D})$  such that each function in  $\mathcal{F}$  is a  $\varphi$ -normal function, then for each  $f \in \mathcal{F}$ , there exist a constant  $C_f$  such that  $\sup_{z \in \mathbb{D}} \frac{f^*(z)}{\varphi(|z|)} < C_f$  for each  $z \in \mathbb{D}$ . Now, we give the following definition:

**Definition 1.3.** Let  $\mathcal{F}$  be a subfamily of  $\mathcal{M}(\mathbb{D})$ . If there exist a constant C such that

$$\sup_{z \in \mathbb{D}} \frac{f^{\#}(z)}{\varphi(|z|)} < C$$

for each  $z \in \mathbb{D}$  and  $f \in \mathcal{F}$ , then  $\mathcal{F}$  is uniformly  $\varphi$ -normal family in  $\mathbb{D}$ .

**Theorem 1.4.** Let  $\varphi : [0,1) \to (0,\infty)$  be a smoothly increasing function,  $\mathcal{F}$  be a subfamily of  $\mathcal{M}(\mathbb{D})$  and let M > 0 be a constant. Assume that there are

- 1. positive integer  $l_1, l_2, \dots, l_q$  (possibly  $+\infty$ ) satisfying  $\sum_{i=1}^q 1/l_i < q-2$ ,
- 2. meromorphic functions  $\psi_{1f}, \psi_{2f}, \dots, \psi_{qf}$   $(f \in \mathcal{F})$  in  $\mathbb{D}$ , positive constant  $\epsilon$  such that  $\sigma(\psi_{if}(z), \psi_{if}(z)) \ge \epsilon$   $(1 \le i < j \le q)$  for all  $z \in \mathbb{D}$ , where  $\sigma$  denotes the spherical metric on extended complex plane  $\overline{\mathbb{C}}$ , and
- 3. sets  $A_f = \bigcup_{j=1}^q \{z \in \mathbb{D} : f(z) = \psi_{jf}(z) \neq \infty\}$  and  $B_f = \bigcup_{j=1}^q \{z \in \mathbb{D} : f(z) = \psi_{jf}(z) = \infty\}$  such that  $|f^{(k)}(z)| \le M\varphi^k(|z|) \text{ on } A_f \quad , \quad \left| \left(\frac{1}{f}\right)^{(k)}(z) \right| \le M\varphi^k(|z|) \text{ on } B_f$

for all  $k = 1, 2, \cdots, l_i - 1$ .

*Then*  $\mathcal{F}$  *is uniformly*  $\varphi$ *-normal family in*  $\mathbb{D}$ *.* 

#### 2. Proof of the Main Results

We assume that the reader is familiar with the basic notions used in Value distribution theory of meromorphic functions such as T(r, f), m(r, f), N(r, f), o(T(r, f)) etc. For deeper insight one can refer to [3]. Further for the proof of our main results we require the following lemmas.

**Lemma 2.1.** (*Zalcman's Lemma*) [11] Let  $\mathcal{F}$  be a subfamily of  $\mathcal{M}(\mathbb{D})$ . Then  $\mathcal{F}$  is not normal in  $\mathbb{D}$  if and only if there exist

- *a real number r: r < 1,*
- points  $z_n$ :  $|z_n| < r$ ,
- positive numbers  $\rho_n: \rho_n \to 0$ ,

• functions  $f_n \in \mathcal{F}$ 

such that

$$g_n(\zeta) = f_n(z_n + \rho_n \zeta)$$

converges locally uniformly with respect to the spherical metric to  $g(\zeta)$ , where  $g(\zeta)$  is a non-constant meromorphic function on  $\mathbb{C}$ .

**Lemma 2.2.** (Lohwater-Pommerenke Theorem)[5] A function f meromorphic in  $\mathbb{D}$  is a normal function if and only if there do not exist sequences  $\{z_n\}$  and  $\{\rho_n\}$  with  $z_n \in \mathbb{D}$ , and  $\rho_n > 0$ ,  $\rho_n \to 0$  such that  $g_n(\zeta) = f(z_n + \rho_n \zeta)$  converges uniformly on each compact subset of  $\mathbb{C}$  to a function  $g(\zeta)$ , where  $g(\zeta)$  is a non-constant meromorphic function.

**Lemma 2.3.** (Simultaneous rescaling version of Zalcman's Lemma) [2] Let p be a natural number and  $\mathcal{F} \subseteq (\mathcal{M}(\mathbb{D}))^p$ . Assume that there exist  $j_0 \in \{1, \dots, p\}$  such that the family  $\pi_{j_0}(\mathcal{F})$  of projections is not normal at  $z_0 \in \mathbb{D}$ . Then there exist sequences  $\{f_n\} = \{(f_{1,n}, \dots, f_{p,n})\} \subseteq \mathcal{F}, \{z_n\} \subset \mathbb{D}$  with  $z_n \to z_0$  and positive numbers  $\{\rho_n\}$  with  $\rho_n \to 0$  and such that for all  $j = 1, \dots, p$  the sequences  $\{g_{j,n}\}$  defined by

$$g_{j,n} := f_{j,n}(z_n + \rho_n \zeta)$$

converge to functions  $g_j \in \mathcal{M}(\mathbb{C}) \cup \{\infty\}$  locally uniformly in  $\mathbb{C}$  (with respect to the spherical metric) where at least one of the functions  $g_1, \dots, g_p$  is not constant.

**Lemma 2.4.** [2] Let  $\mathcal{F} \subseteq (\mathcal{M}(\mathbb{D}))^2$  be a family of pairs of meromorphic functions in  $\mathbb{D}$  and  $\epsilon > 0$ . Assume that

 $\sigma(a(z), b(z)) \ge \epsilon$ , for all  $(a, b) \in \mathcal{F}$  and all  $z \in \mathbb{D}$ .

*Then the families*  $\{a : (a, b) \in \mathcal{F}\}$  *and*  $\{b : (a, b) \in \mathcal{F}\}$  *are normal in*  $\mathbb{D}$ *.* 

*Proof.* [Proof of Theorem 1.2.] Suppose that  $\mathcal{F}$  is not uniformly normal in  $\mathbb{D}$ . Then we can find sequences  $\{f_{\nu}\} \subset \mathcal{F}, \{a_{f_{\nu}}\}, \{b_{f_{\nu}}\}, \{c_{f_{\nu}}\} \subseteq \mathcal{M}(\mathbb{D}) \cup \{\infty\}$  and  $\{z_{\nu}\} \subset \mathbb{D}$  such that

$$\min\{\sigma(a_{f_{\nu}}(z), b_{f_{\nu}}(z)), \sigma(b_{f_{\nu}}(z), c_{f_{\nu}}(z)), \sigma(c_{f_{\nu}}(z), a_{f_{\nu}}(z))\} \ge \epsilon$$

and

$$(1-|z_{\nu}|^2)f_{\nu}^{\#}(z_{\nu}) \to \infty \text{ as } \nu \to \infty.$$

Since

$$(1-|z_{\nu}|)f_{\nu}^{\#}(z_{\nu}) = \frac{1-|z_{\nu}|^{2}}{1+|z_{\nu}|}f_{\nu}^{\#}(z_{\nu}) \geq \frac{1}{2}(1-|z_{\nu}|^{2})f_{\nu}^{\#}(z_{\nu}),$$

it follows that

$$(1 - |z_{\nu}|) f_{\nu}^{\#}(z_{\nu}) \to \infty \text{ as } \nu \to \infty.$$

We define

$$q_{\nu}(z) := f_{\nu}(z_{\nu} + (1 - |z_{\nu}|)z)$$

and

$$a_{g_{\nu}}(z) := a_{f_{\nu}}(z_{\nu} + (1 - |z_{\nu}|)z), b_{g_{\nu}}(z) := b_{f_{\nu}}(z_{\nu} + (1 - |z_{\nu}|)z), c_{g_{\nu}}(z) := c_{f_{\nu}}(z_{\nu} + (1 - |z_{\nu}|)z)$$

for  $z \in \mathbb{D}$ . Then by (1), we have

$$g_{\nu}^{\#}(0) = (1 - |z_{\nu}|) f_{\nu}^{\#}(z_{\nu}) \to \infty \text{ as } \nu \to \infty.$$

Thus by Marty's theorem, it follows that  $\{q_{\nu}\}$  is not normal at the origin.

Consider the family of quadruples  $\widehat{\mathcal{F}} = \{(g_{\nu}, a_{g_{\nu}}, b_{g_{\nu}}, c_{g_{\nu}}) : \nu \in \mathbb{N}\}$ . Since  $\pi_1(\widehat{\mathcal{F}}) = \{g_{\nu}\}$  fails to normal at the origin, Lemma 2.3 guarantees the existence of subsequences  $\{\{g_{\nu}\}, \{a_{g_{\nu}}\}, \{b_{g_{\nu}}\}, \{c_{g_{\nu}}\}\} \subset \widehat{\mathcal{F}}$  (for the sake

(1)

of simplicity, we take the same sequences), points  $w_{\nu} \to 0$ , positive numbers  $\rho_{\nu} \to 0$  such that  $g_{\nu}$  omits  $a_{g_{\nu}}, b_{g_{\nu}}, c_{g_{\nu}}$  and

$$\min\{\sigma(a_{q_v}(z), b_{q_v}(z)), \sigma(b_{q_v}(z), c_{q_v}(z)), \sigma(c_{q_v}(z), a_{q_v}(z))\} \ge \epsilon$$

$$(2)$$

for all  $z \in \mathbb{D}$  and all v and such that the sequences  $\{G_v\}, \{A_v\}, \{B_v\}$  and  $\{C_v\}$  defined by

$$G_{\nu}(\xi) := g_{\nu}(w_{\nu} + \rho_{\nu}\xi),$$

$$A_{\nu}(\xi) := a_{g_{\nu}}(w_{\nu} + \rho_{\nu}\xi), \ B_{\nu}(\xi) := b_{g_{\nu}}(w_{\nu} + \rho_{\nu}\xi), \ C_{\nu}(\xi) := c_{g_{\nu}}(w_{\nu} + \rho_{\nu}\xi)$$

converge locally uniformly in  $\mathbb{C}$  to functions  $G, A, B, C \in \mathcal{M}(\mathbb{C}) \cup \{\infty\}$ , respectively, not all of which are constant. Further, Lemma 2.4 ensures that the families  $\{a_{g_v}\}, \{b_{g_v}\}$  and  $\{c_{g_v}\}$  are normal, and so by Lemma 2.1 we find that A, B and C are constant and consequently G is non-constant. On the other hand,  $g_v$  omits  $a_{g_v}, b_{g_v}, c_{g_v}$ , we have by Hurwitz's theorem, G omits three distinct constant A, B and C. Hence, by Picard's theorem, G is constant. This is a contradiction.  $\Box$ 

*Proof.* [**Proof of Theorem 1.4.**] Suppose that  $\mathcal{F}$  is not uniformly  $\varphi$ -normal family on  $\mathbb{D}$ . Then there exist sequences  $\{f_{\nu}\} \subset \mathcal{F}, \{z_{\nu}\} \subset \mathbb{D}$  and

- positive integer  $l_1, l_2, \dots, l_q$  (posibbly  $+\infty$ ) satisfying  $\sum_{j=1}^q 1/l_j < q-2$ ,
- meromorphic functions  $\psi_{1f_{\nu}}, \psi_{2f_{\nu}}, \cdots, \psi_{qf_{\nu}}$  ( $f \in \mathcal{F}$ ) in  $\mathbb{D}$ , positive constant  $\epsilon$  such that  $\sigma(\psi_{if_{\nu}}(z), \psi_{jf_{\nu}}(z)) \ge \epsilon$  ( $1 \le i < j \le q$ ) for all  $z \in \mathbb{D}$ , and

• sets 
$$A_{f_{\nu}} = \bigcup_{j=1}^{q} \{z \in \mathbb{D} : f_{\nu}(z) = \psi_{jf_{\nu}}(z) \neq \infty\}$$
 and  $B_{f_{\nu}} = \bigcup_{j=1}^{q} \{z \in \mathbb{D} : f_{\nu}(z) = \psi_{jf_{\nu}}(z) = \infty\}$  with  $|f_{\nu}^{(k)}(z)| \le M\varphi^{k}(|z|) \ z \in A_{f_{\nu}}, \ \left| \left( \frac{1}{f_{\nu}} \right)^{(k)}(z) \right| \le M\varphi^{k}(|z|) \ z \in B_{f_{\nu}} \ (1 \le k \le l_{j} - 1)$ 

such that

$$\frac{f_{\nu}^{\#}(z_{\nu})}{\varphi(|z_{\nu}|)} \to \infty \text{ as } \nu \to \infty.$$
(3)

Passing to a subsequence (if necessary), we may assume that  $z_{\nu} \rightarrow z_0 \in \overline{\mathbb{D}}$ . We consider the following cases:

**Case 1.**  $|z_0| = 1$ . Consider the family

$$\left\{g_{\nu}(z):=f_{\nu}\left(z_{\nu}+\frac{z}{\varphi(|z_{\nu}|)}\right),\ z\in\mathbb{D}\right\}.$$

Since  $\varphi : [0,1) \to (0,\infty)$  is a smoothly increasing function satisfying  $\varphi(r)(1-r) \to \infty$  as  $r \to 1^-$ , we can assume that  $\varphi(r)(1-r) \ge 1$  for all  $r \in [0,1)$ . Using this and  $|z_{\nu}| \to 1^-$ , we conclude that for  $\nu$  sufficiently large

$$\varphi(|z_{\nu}|)(1-|z_{\nu}|) \geq 1$$

Therefore,

$$\left|z_{\nu} + \frac{z}{\varphi(|z_{\nu}|)}\right| \le |z_{\nu}| + \frac{|z|}{\varphi(|z_{\nu}|)} < |z_{\nu}| + \frac{1}{\varphi(|z_{\nu}|)} \le |z_{\nu}| + (1 - |z_{\nu}|) = 1$$

for each  $z \in \mathbb{D}$ , so that the function  $g_v$  is well-defined in  $\mathbb{D}$ , for all v. Now, by using (3), we get

$$g_{\nu}^{\#}(0) = \frac{f_{\nu}^{\#}(z_{\nu})}{\varphi(|z_{\nu}|)} \to \infty \text{ as } \nu \to \infty,$$

Thus Marty's theorem implies that  $\{g_{\nu}\}$  is not normal at 0. By Lemma 2.1, we can find a subsequence of  $\{g_{\nu}\}$ , one may take  $\{g_{\nu}\}$  itself,  $\{v_{\nu}\} \subset \mathbb{D}$  with  $v_{\nu} \to 0$ , positive numbers  $\sigma_{\nu}$  with  $\sigma_{\nu} \to 0$  such that

$$G_{\nu}(\xi) := g_{\nu}(v_{\nu} + \sigma_{\nu}\xi) = f_{\nu}\left(z_{\nu} + \frac{v_{\nu} + \sigma_{\nu}\xi}{\varphi(|z_{\nu}|)}\right)$$

locally uniformly with respect to the spherical metric to  $G(\xi)$ , where  $G(\xi)$  is a non-constant meromorphic function on  $\mathbb{C}$ . Therefore, on every compact subset of  $\mathbb{C}$  that contains no poles of G,  $G_{\nu}^{(j)}$  converges uniformly to  $G^{(j)}$  for all  $j \in \mathbb{N}$ . Similarly, on every compact subset of  $\mathbb{C}$  containing no zeros of G,  $(1/G_{\nu})^{(j)}$  converges uniformly to  $(1/G)^{(j)}$  for all  $j \in \mathbb{N}$ . Also, Lemma 2.4 ensures that  $\{\psi_{jf_{\nu}}\}_{\nu \geq 1}$  is a normal family on  $\mathbb{D}$  and so passing to a subsequence, we assume that  $\{\psi_{jf_{\nu}}\}_{\nu \geq 1}$  converges spherically uniformly on  $\mathbb{C}$  to a meromorphic function  $\psi_{j}$  (or  $\infty$ ) for all j = 1, 2, ..., q. Thus

$$\psi_{jf_{\nu}}\left(z_{\nu} + \frac{v_{\nu} + \sigma_{\nu}\xi}{\varphi(|z_{\nu}|)}\right) \to \psi_{j}(z_{0})$$

spherically locally uniformly on C. Now, we claim:

- 1. For any  $j \in \{1, 2, \dots, q\}$ , if  $\psi_j(z_0) \neq \infty$ , then all the zeros of  $G \psi_j(0)$  have multiplicity at least  $l_j$ .
- 2. For some  $j \in \{1, 2, ..., q\}$ , if  $\psi_j(z_0) = \infty$ , then all the poles of *G* have multiplicity at least  $l_j$ .

Suppose that  $\psi_j(0) \neq \infty$  and for any fixed j, let  $\xi_0$  be a zero  $G(\xi) - \psi_{jf_\nu}(0)$ . Then G is holomorphic at  $\xi_0$ . By Hurwitz's theorem there exist a sequence of points  $\xi_\nu \rightarrow \xi_0$  such that for all  $\nu$  sufficiently large,  $\psi_{jf_\nu}(z_\nu + \frac{v_\nu + \sigma_\nu \xi_\nu}{\varphi(|z_\nu|)}) \neq \infty$  and

$$0 = G_{\nu}(\xi_{\nu}) - \psi_{jf_{\nu}}\left(z_{\nu} + \frac{v_{\nu} + \sigma_{\nu}\xi_{\nu}}{\varphi(|z_{\nu}|)}\right) = f_{\nu}\left(z_{\nu} + \frac{v_{\nu} + \sigma_{\nu}\xi_{\nu}}{\varphi(|z_{\nu}|)}\right) - \psi_{jf_{\nu}}\left(z_{\nu} + \frac{v_{\nu} + \sigma_{\nu}\xi_{\nu}}{\varphi(|z_{\nu}|)}\right)$$
$$\Rightarrow f_{\nu}\left(z_{\nu} + \frac{v_{\nu} + \sigma_{\nu}\xi_{\nu}}{\varphi(|z_{\nu}|)}\right) = \psi_{jf_{\nu}}\left(z_{\nu} + \frac{v_{\nu} + \sigma_{\nu}\xi_{\nu}}{\varphi(|z_{\nu}|)}\right), \text{ for sufficiently large } \nu.$$

By hypothesis, we have

$$\left| f_{\nu}^{(k)} \left( z_{\nu} + \frac{v_{\nu} + \sigma_{\nu} \xi_{\nu}}{\varphi(|z_{\nu}|)} \right) \right| \le M \varphi^{k} \left( \left| z_{\nu} + \frac{v_{\nu} + \sigma_{\nu} \xi_{\nu}}{\varphi(|z_{\nu}|)} \right| \right)$$

$$\tag{4}$$

for *v* sufficiently large and  $k = 1, 2, \dots, l_j - 1$ . Now,

$$G_{\nu}^{(k)}(\xi_{\nu}) = \left(\frac{\sigma_{\nu}}{\varphi(|z_{\nu}|)}\right)^{k} \left| f_{\nu}^{(k)}\left(z_{\nu} + \frac{v_{\nu} + \sigma_{\nu}\xi_{\nu}}{\varphi(|z_{\nu}|)}\right) \right|$$
$$\leq \left(\frac{\sigma_{\nu}}{\varphi(|z_{\nu}|)}\right)^{k} M \varphi^{k}\left(\left|z_{\nu} + \frac{v_{\nu} + \sigma_{\nu}\xi_{\nu}}{\varphi(|z_{\nu}|)}\right|\right)$$
$$= \sigma_{\nu}^{k} M \left[\frac{\varphi\left(\left|z_{\nu} + \frac{v_{\nu} + \sigma_{\nu}\xi_{\nu}}{\varphi(|z_{\nu}|)}\right|\right)}{\varphi(|z_{\nu}|)}\right]^{k}.$$

Since

$$\frac{\varphi\left(\left|z_{\nu}+\frac{v_{\nu}+\sigma_{\nu}\xi_{\nu}}{\varphi(|z_{\nu}|)}\right|\right)}{\varphi(|z_{\nu}|)} \to 1 \text{ as } \nu \to \infty,$$

we have

$$G^{(k)}(\xi_0) = \lim_{\nu \to \infty} G^{(k)}_{\nu}(\xi_{\nu}) = \lim_{\nu \to \infty} \sigma^k_{\nu} M \left[ \frac{\varphi\left( \left| z_{\nu} + \frac{v_{\nu} + \sigma_{\nu} \xi_{\nu}}{\varphi(|z_{\nu}|)} \right| \right)}{\varphi(|z_{\nu}|)} \right]^k = 0$$

for all  $k = 1, 2, \dots, l_j - 1$ . Thus  $\xi_0$  is a zero of  $G - \psi_{if_v}(0)$  of multiplicity at least  $l_j$ . This proves claim (1).

Suppose that  $\psi_j(z_0) = \infty$ . Then, on every compact subset of  $\mathbb{D}$  that contains no zeros of  $\psi_{jf_v}(z)$ ,  $1/\psi_{jf_v}(z_v + \frac{v_v + \sigma_v \xi}{\varphi(|z_v|)})$  converges locally uniformly to 0 with respect to Euclidean metric. Let  $\eta_0$  be a zero of 1/G. Then by Hurwitz's theorem there exist a sequence of points  $\eta_v \to \eta_0$  such that for all v sufficiently large,  $\psi_{jf_v}(z_v + \frac{v_v + \sigma_v \eta_v}{\varphi(|z_v|)}) = \infty$  and

$$0 = \frac{1}{G_{\nu}(\eta_{\nu})} - \frac{1}{\psi_{jf_{\nu}}\left(z_{\nu} + \frac{v_{\nu} + \sigma_{\nu}\eta_{\nu}}{\varphi(|z_{\nu}|)}\right)} = \frac{1}{f_{\nu}\left(z_{\nu} + \frac{v_{\nu} + \sigma_{\nu}\eta_{\nu}}{\varphi(|z_{\nu}|)}\right)} - \frac{1}{\psi_{jf_{\nu}}\left(z_{\nu} + \frac{v_{\nu} + \sigma_{\nu}\eta_{\nu}}{\varphi(|z_{\nu}|)}\right)}$$
$$\Rightarrow \frac{1}{f_{\nu}\left(z_{\nu} + \frac{v_{\nu} + \sigma_{\nu}\eta_{\nu}}{\varphi(|z_{\nu}|)}\right)} = \frac{1}{\psi_{jf_{\nu}}\left(z_{\nu} + \frac{v_{\nu} + \sigma_{\nu}\eta_{\nu}}{\varphi(|z_{\nu}|)}\right)}$$
$$\Rightarrow f_{n}\left(z_{\nu} + \frac{v_{\nu} + \sigma_{\nu}\eta_{\nu}}{\varphi(|z_{\nu}|)}\right) = \psi_{jf_{n}}\left(z_{\nu} + \frac{v_{\nu} + \sigma_{\nu}\eta_{\nu}}{\varphi(|z_{\nu}|)}\right).$$

By hypothesis, we have

$$\left| \left( \frac{1}{f_{\nu}} \right)^{(k)} \left( z_{\nu} + \frac{v_{\nu} + \sigma_{\nu} \eta_{\nu}}{\varphi(|z_{\nu}|)} \right) \right| \le M \varphi^{k} \left( \left| z_{\nu} + \frac{v_{\nu} + \sigma_{\nu} \eta_{\nu}}{\varphi(|z_{\nu}|)} \right| \right)$$

for all  $\nu$  sufficiently large and  $k = 1, 2, \dots, l_j - 1$ . Thus by applying the same argument as in claim (1), we find that  $\eta_0$  is a zero of 1/G of multiplicity at least  $l_j$ . Hence  $\eta_0$  is a pole of G of multiplicity at least  $l_j$ , This proves claim (2).

Since, by assumption on spherical distance,  $\psi_1(z_0), \psi_2(z_0), \dots, \psi_q(z_0)$  are *q*-distinct points in extended complex plane, applying Second fundamental theorem of Nevanlinna to *G*, we obtain

$$(q-2)T(r,G) \leq \sum_{i=1}^{q} \overline{N}\left(r, \frac{1}{G-\psi_{j}(z_{0})}\right) + o(T(r,G))$$
$$\leq \sum_{j=1}^{q} \frac{1}{l_{j}}N\left(r, \frac{1}{G-\psi_{j}(z_{0})}\right) + o(T(r,G))$$
$$\leq \sum_{j=1}^{q} \frac{1}{l_{j}}T(r,G) + o(T(r,G)).$$

That is,

$$\left[ (q-2) - \sum_{j=1}^{q} \frac{1}{l_j} \right] T(r,G) \le o(T(r,G))$$

which is a contradiction to the fact that  $\sum_{j=1}^{q} \frac{1}{l_j} < q - 2$ .

**Case 2.**  $0 \le |z_0| < 1$ . Since  $\varphi$  is increasing, the following inequality

$$\frac{f_{\nu}^{\#}(z_{\nu})}{\varphi(0)} \ge \frac{f_{\nu}^{\#}(z_{\nu})}{\varphi(|z_{\nu}|)}$$
(5)

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must holds for every positive integer v. From (3) and (5), we find that

$$f_{\nu}^{\#}(z_{\nu}) \to \infty \text{ as } \nu \to \infty$$

and so by Marty's theorem,  $\{f_{\nu}\}_{\nu=1}^{\infty}$  is not normal at  $z_0$ . By Lemma 2.1, there exist a subsequence of  $f_{\nu}$ , one may take  $f_{\nu}$  itself,  $\{u_{\nu}\} \subset \mathbb{D}$  with  $u_{\nu} \to z_0$  and positive numbers  $\rho_{\nu}$  with  $\rho_{\nu} \to 0$  such that

$$F_{\nu}(\eta) := f_{\nu}(u_{\nu} + \rho_{\nu}\eta)$$

locally uniformly with respect to the spherical metric to F, where  $F(\eta)$  is a non-constant meromorphic function on  $\mathbb{C}$ . Therefore, on every compact subset of  $\mathbb{C}$  that contains no poles of F,  $F_{\nu}^{(j)}$  converges uniformly to  $F^{(j)}$  for all  $j \in \mathbb{N}$ . Similarly, on every compact subset of  $\mathbb{C}$  containing no zeros of F,  $(1/F_{\nu})^{(j)}$  converges uniformly to  $(1/F)^{(j)}$  for all  $j \in \mathbb{N}$ . Now, by applying the same argument as in Case 1, we get

- 1. For any  $j \in \{1, 2, \dots, q\}$ , if  $\psi_j(z_0) \neq \infty$ , then all the zeros of  $F \psi_j(z_0)$  have multiplicity at least  $l_j$ .
- 2. For some  $j \in \{1, 2, ..., q\}$ , if  $\psi_j(z_0) = \infty$ , then all the poles of *F* have multiplicity at least  $l_j$ .

Again, by applying Second fundamental theorem of Nevanlinna to *F* and  $\psi_j(z_0)(1 \le j \le q)$  with assumption  $\sum_{i=1}^{q} \frac{1}{l_i} < q - 2$ , we get a contradiction.

This completes the proof of the Theorem 1.4.  $\Box$ 

*Proof.* [**Proof of Theorem 1.1.**] Suppose that f is not normal in  $\mathbb{D}$ . By Lemma 2.2, there exists a sequence  $\{z_n\} \subset \mathbb{D}$  and positive numbers  $\{\rho_n\}$  with  $\rho_n \to 0$  such that

$$g_n(\xi) := f(z_n + \rho_n \xi)$$

converges uniformly on each compact subset of  $\mathbb{C}$  to a non-constant meromorphic function  $g(\xi)$  on  $\mathbb{C}$ . Passing to a subsequence (if necessary) we assume that  $z_n \to z_0 \in \overline{\mathbb{D}}$ . If  $z_0 \in \mathbb{D}$ , then we have

$$g_n(\xi) = f(z_n + \rho_n \xi) \rightarrow f(z_0).$$

This implies that  $g(\xi) \equiv f(z_0)$ , which is not possible since g is non constant meromorphic function on  $\mathbb{C}$ . Thus we must have  $|z_0| = 1$ .

We omit the rest of the proof of Theorem 1.1 as it almost relies on the same argument used in Theorem 1.4.  $\hfill\square$ 

#### 3. Compliance with Ethical Standards

- Conflicts of Interest: The authors declare that they have no conflict of interest.
- Data Availability Statement: Data Sharing is not applicable to this article.
- Authors received no specific grant/funding for the research, authorship or publication of this article
- This article does not contain any studies with human participants or animals performed by any of the authors.

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