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# Semi-weak *k*-hyponormality of recursively generated weighted shifts with first two equal weights

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**Abstract.** Semi-weak *k*-hyponormality has been considered to study the weak subnormality of Hilbert space operators. In this paper, we consider a recursive weight sequence  $\alpha(a, b, \rho)$  induced by two atomic Berger measure with atoms  $\{a, b\}$  and density  $\rho$  for  $0 < a, b, \rho < 1$ , and the corresponding weighted shift  $W_{\alpha(a,b,\rho)}$ . For all  $k \ge 2$ , we characterize semi-weak *k*-hyponormalities of recursively generated weighted shifts with first two equal weights. We also show that a semi-weakly *k*-hyponormal weighted shift needs not satisfy the flatness property, in which equality of first two weights forces all weights to be equal.

#### 1. Introduction and Preliminaries

Let  $\mathcal{H}$  be a separable infinite dimensional complex Hilbert space and let  $\mathcal{B}(\mathcal{H})$  be the algebra of all bounded linear operators on  $\mathcal{H}$ . A bounded operator T is *subnormal* if it is the restriction of a normal operator to a (closed) invariant subspace. For A and B in  $\mathcal{B}(\mathcal{H})$ , we let [A, B] := AB - BA. A k-tuple  $(T_1, ..., T_k)$  of bounded operators in  $\mathcal{B}(\mathcal{H})$  is called *hyponormal* if the operator matrix  $([T_j^*, T_i])_{i,j=1}^k$  is positive on the direct sum of  $\mathcal{H} \oplus \cdots \oplus \mathcal{H}$  (k-copies). An operator  $T \in \mathcal{B}(\mathcal{H})$  is said to be (*strongly*) k-hyponormal if  $(T, ..., T^k)$  is hyponormal ([5],[8],[9]). Obviously, 1-hyponormal operator T is hyponormal. It is well known that according to the Bram-Halmos' criterion, an operator T is subnormal if and only if T is k-hyponormal for all  $k \in \mathbb{N}$ , where  $\mathbb{N}$  is the set of positive integers ([3]).

An operator *T* is said to be *polynomially hyponormal* if p(T) is hyponormal for all complex polynomials *p*. For  $k \in \mathbb{N}$ , an operator *T* is *weakly k-hyponormal* if for every polynomial *p* of degree *k* or less, p(T) is hyponormal ([8],[15],[16]). For k = 2, *T* is said to be *quadratically hyponormal*. An operator *T* is called *semi-weakly k-hyponormal* if  $T + sT^k$  is hyponormal for all *s* in the set  $\mathbb{C}$  of complex numbers ([17]). An operator *T* is *completely semi-weakly hyponormal* if *T* is semi-weakly *k*-hyponormal for all  $k \in \mathbb{N}$  ([21],[23]). Clearly, quadratic hyponormality is equivalent to semi-weak 2-hyponormality. The following implications hold: subnormal  $\Rightarrow$  polynomially hyponormal. However it is known that converse implications are not

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always true ([17],[21]). Sometimes [semi-]weak 3- and 4-hyponormality are referred to as [semi-]cubic and quartic hyponormality.

For a bounded weight sequence  $\alpha = \{\alpha_i\}_{i=0}^{\infty}$  of positive real numbers, the *weighted shift*  $W_{\alpha}$  acting on  $\ell^2(\mathbb{N}_0)$ , with an orthonormal basis  $\{e_i\}_{i=0}^{\infty}$ , is defined by  $W_{\alpha}e_j = \alpha_je_{j+1}$  for all  $j \in \mathbb{N}_0 := \mathbb{N} \cup \{0\}$ . Weighted shifts have played important roles in detecting properties of weak subnormality ([12], [13], [14]). In the area of gap theory between subnormality and hyponormality, the flatness is important to detect the structure of such weighted shifts (cf. [4], [5], [6], [20]). The flatness of subnormal weighted shifts was begun by J. Stampfli ([24]); he proved that if  $W_{\alpha}$  is a subnormal weighted shift with the weight sequence  $\alpha = \{\alpha_i\}_{i=0}^{\infty}$  and  $\alpha_0 = \alpha_1$ , then  $\alpha_0 = \alpha_1 = \alpha_2 = \cdots$ , i.e. *flat*. In [6] R. Curto improved his result as that if  $W_{\alpha}$  is a 2-hyponormal weighted shift with first two equal weights, then the sequence  $\alpha$  is flat. Also he proved that  $W_{\alpha}$  is quadratically hyponormal with the weight  $\alpha : \sqrt{\frac{2}{3}}, \sqrt{\frac{2}{3}}, \sqrt{\frac{3}{4}}, \sqrt{\frac{4}{5}}, \cdots$ . Hence the following problem arose naturally.

**Problem 1.1 ([7, Problem 4]).** Describe all quadratically hyponormal weighted shifts  $W_{\alpha}$  with the first two equal weights.

Since R. Curto introduced Problem 1.1 in 1991, several operator theorists have studied this problem for more 30 years. In [4], Choi proved this flatness in the case of polynomially hyponormal weighted shift. Li-Cho-Lee in [20] proved that if  $W_{\alpha}$  a cubically hyponormal weighted shift with  $\alpha$  satisfying the first two equal weights, then  $\alpha$  forces flatness of  $W_{\alpha}$ .

There are another family of subnormal shifts arising from *Stampfli's subnormal completion* ([24]): for positive real numbers u, v, w with u < v < w, there exists a recursively subnormal weighted shift  $W_{(\sqrt{u},\sqrt{v},\sqrt{w})^{\wedge}}$  (cf. [2], [10], [18], [22]). In [9] Curto-Fialkow proved that there exists 1 < x < y such that  $W_{1,(1,\sqrt{x},\sqrt{y})^{\wedge}}$  is quadratically hyponormal. For the weighted shift  $W_{\alpha(x)}$  with  $\alpha(x) : \sqrt{x}, (\sqrt{u}, \sqrt{v}, \sqrt{w})^{\wedge} (x < u < v < w)$ , it is well-known [11] that 2-hyponormality of  $W_{\alpha(x)}$  is equivalent to subnormality. Moreover, in [23] Li-Lee-Baek proved that subnormality of  $W_{\alpha(x)}$  is equivalent to polynomial hyponormality and completely semi-weak hyponormality (cf. Proposition 2.3).

Due to the result in [20], it holds that every weakly *k*-hyponormal weighed shift with first two equal weights satisfies the flatness property for all  $k \ge 3$ , *that is*, Problem 1.1 does not extend to the case of weak *k*-hyponormality. On the other hand, in [17] authors provided an example that a weighted shift  $W_{\alpha}$  with  $\alpha : \sqrt{\frac{2}{3}}, \sqrt{\frac{2}{3}}, \sqrt{\frac{3}{4}}, \sqrt{\frac{4}{5}}, \cdots$  is semi-cubically hyponormal but not semi-weakly *k*-hyponormal for any  $k \ge 4$ . So it is natural question whether a semi-weakly *k*-hyponormal weighted shift with first two equal weights has the flatness property. Hence it is meaningful studying on the following Problem 1.2.

**Problem 1.2.** Describe semi-weakly *k*-hyponormal weighted shifts with first two equal weights for each integer  $k \ge 2$ .

Authors in [1] described a nonempty range of *x*, which provides a weighted shift  $W_{\alpha(x)}$  with the weight  $\alpha(x) : 1, 1, \sqrt{x}, \left(\sqrt{\frac{111}{100}}, \sqrt{\frac{112}{100}}, \sqrt{\frac{113}{100}}\right)^{\wedge}$  being a semi-cubically hyponormal. Therefore it is worthwhile to find appropriate weighted shifts with first two equal weights which can provide a positive answer to Problem 1.2.

This paper consists of four sections. In Section 2 for an arbitrary given triplet  $(a, b, \rho)$  with  $0 < a, b, \rho < 1$ , we introduce a new notion of a recursively generated weight sequence  $\alpha(a, b, \rho)$  induced by two atomic Berger measure. And we show the relationship between  $\alpha(a, b, \rho)$  and Stampfli's weight sequence  $(\sqrt{u}, \sqrt{v}, \sqrt{w})^{\wedge}$  (0 < u < v < w).

In Section 3 for a recursive sequence  $\alpha(a, b, \rho)$  with  $0 < a, b, \rho < 1$ , we formulate a rather simple formula for quadratic hyponormality of the weighted shift  $W_{\alpha(a,b,\rho)}$ , and provided some related examples.

In Section 4 we provide a concrete model which gives the affirmative answers to Problem 1.2. Using our model, we characterize semi-weak *k*-hyponormalities of recursively generated weighted shifts with first two equal weights for all  $k \ge 2$  (see Theorem 4.3 or Theorem 4.5).

Some of the calculations in this paper were accomplished by using the software tool Mathematica ([25]).

#### 2. Recursively generated weight sequence by two atoms

Let *a* and *b* be positive real numbers with  $a, b \le 1$  and denote

$$\varphi_0 = -ab \text{ and } \varphi_1 = a + b. \tag{2.1}$$

Define a generating function f as follows:

$$f(t) = t^2 - \varphi_1 t - \varphi_0 \quad (t \in \mathbb{R}).$$

Consider two real numbers  $0 < \rho_0$ ,  $\rho_1 < 1$  satisfying  $\rho_0 + \rho_1 = 1$  and the Vandermonde equation

$$\begin{pmatrix} 1 & 1 \\ a & b \end{pmatrix} \begin{pmatrix} \rho_0 \\ \rho_1 \end{pmatrix} = \begin{pmatrix} 1 \\ \rho_0 a + \rho_1 b \end{pmatrix}.$$

Put  $\rho = \rho_0$ . Then  $\rho_1 = 1 - \rho$ . Now we consider a (two atomic) probability measure

$$\mu := \mu_{(a,b,\rho)} = \rho \delta_{\{a\}} + (1-\rho) \delta_{\{b\}} \text{ with } 0 < \rho < 1.$$

Then the measure  $\mu$  is the Berger measure and there exists a sequence  $\{\gamma_n\}_{n=0}^{\infty} \subset \mathbb{R}_+$  such that

$$\gamma_n = \int_{\mathbb{R}_+} t^n d\mu(t) = \rho a^n + (1 - \rho) b^n \quad (n \ge 0).$$
(2.2)

Define

$$\alpha_n \equiv \alpha_n(a,b,\rho) = \sqrt{\frac{\gamma_{n+1}}{\gamma_n}} \quad (n \ge 0).$$

This produces a sequence  $\alpha(a, b, \rho) := {\alpha_n}_{n=0}^{\infty}$  such that

$$\alpha_n = \sqrt{\frac{\rho a^{n+1} + (1-\rho) b^{n+1}}{\rho a^n + (1-\rho) b^n}} \ (n \ge 0).$$
(2.3)

Using the notations (2.1) and (2.3), the sequence  $\alpha(a, b, \rho)$  turns out to be recursively generated (or simply recursive) by the triplet (*a*, *b*,  $\rho$ ) satisfying

$$\alpha_n^2 = \varphi_1 + \frac{\varphi_0}{\alpha_{n-1}^2}, \quad n \ge 1.$$
(2.4)

We note that if two atoms are equal, i.e. a = b, then the sequence  $\alpha(a, b, \rho)$  forces the flatness regardless of the density value  $\rho$ . To avoid this trivial case, we consider two different atoms in this paper.

**Proposition 2.1.** For a weight sequence  $\alpha(a, b, \rho)$ , the following assertions hold. (i) The sequence  $\alpha(a, b, \rho)$  is monotone increasing; (ii)

$$\lim_{n \to \infty} \alpha_n^2 = \begin{cases} a, & \text{if } a > b, \\ b, & \text{if } a < b. \end{cases}$$

Proof. (i) Using (2.3), it follows from simple computations that

$$\alpha_{n+1}^2 - \alpha_n^2 = \frac{\rho(1-\rho)a^{n-1}(a-b)^2b^{n-1}}{(\rho a^n + (1-\rho)b^n)\left(\rho a^{n-1} + (1-\rho)b^{n-1}\right)} \quad (n \ge 0).$$

Since  $0 < \rho < 1$ ,  $\alpha_{n+1}^2 > \alpha_n^2$  ( $n \ge 0$ ), which implies the result. (ii) Suppose that  $0 < b < a \le 1$ . Then  $\frac{b}{a} < 1$ . So

$$\lim_{n \to \infty} \alpha_n^2 = \lim_{n \to \infty} \frac{a\rho + (1-\rho)\left(\frac{b}{a}\right)^{n+1}}{\rho + (1-\rho)\left(\frac{b}{a}\right)^n} = a$$

For the other case  $0 < a < b \le 1$ , the proof is similar and easy.

It turns out from Proposition 2.1 that  $\alpha(a, b, \rho)$  becomes a bounded increasing sequence of positive numbers.

**Lemma 2.2.** For a weight sequence  $\alpha(a, b, \rho)$ , put

$$K := -\frac{\varphi_1^2}{2\varphi_0} \left( \varphi_1 + \sqrt{\varphi_1^2 + 4\varphi_0} \right) \text{ and } L^2 := \frac{1}{2} \left( \varphi_1 + \sqrt{\varphi_1^2 + 4\varphi_0} \right).$$

Suppose *a* < *b*. Then

$$K = \frac{(a+b)^2}{a} \quad and \quad L^2 = b.$$

Proof. It is straightforward from simple computations.

For a given triplet  $(a, b, \rho)$ , let the sequence  $\gamma = \{\gamma_n\}_{n=0}^{\infty}$  be given as in (2.2). Consider a Hankel matrix M(i) for all  $i \ge 0$ ,

$$M(i) = \left[\gamma_{i+j}\right]_{j=0,1,2}.$$

It follows from (2.4) that the sequence  $\gamma = \{\gamma_n\}$  satisfies the followings:

$$\gamma_0 = 1, \ \gamma_1 = \rho a + (1 - \rho)b, \ \gamma_n = \varphi_0 \gamma_{n-2} + \varphi_1 \gamma_{n-1} \ (n \ge 2)$$

Then the rank of the matrix M(i), rankM(i) = 2 for all  $(i \ge 0)$ . Denote  $W_{\alpha(a,b,\rho)}$  for the corresponding weighted shift with a recursive sequence  $\alpha(a, b, \rho)$ . It is obvious that  $W_{\alpha(a,b,\rho)}$  is a subnormal recursively generated weighted shift (cf. [8, p. 220]).

For the reader's convenience, we recall Stampfli's subnormal completion (cf. [9],[24]). For given real numbers  $\sqrt{u} \equiv \alpha_0$ ,  $\sqrt{v} \equiv \alpha_1$ ,  $\sqrt{w} \equiv \alpha_2$  with u < v < w, define

$$\alpha_n^2 = \Psi_1 + \frac{\Psi_0}{\alpha_{n-1}^2}, \qquad n \ge 2,$$

where  $\Psi_0 = -\frac{uv(w-v)}{v-u}$  and  $\Psi_1 = \frac{v(w-u)}{v-u}$ . Then we obtain a recursively generated weight sequence and denote it by  $(\sqrt{u}, \sqrt{v}, \sqrt{w})^{\wedge}$  and the associated weighted shift  $W_{(\sqrt{u}, \sqrt{v}, \sqrt{w})^{\wedge}}$  is subnormal ([24]). For a weighted shift  $W_{\alpha(x)}$  with  $\alpha(x) : \sqrt{x}, (\sqrt{u}, \sqrt{v}, \sqrt{w})^{\wedge}$  (x < u < v < w), it is well known [9] that

 $W_{\alpha(x)}$  is 2-hyponormal  $\Leftrightarrow 0 < x \le \sqrt{\frac{uv(w-v)}{u^2 - 2uv + vw}} \ (\equiv H_2).$ 

Given a triplet (*a*, *b*,  $\rho$ ) with  $0 < a, b, \rho < 1$ , consider three positive real numbers u < v < w of Stampfli's weight sequence ( $\sqrt{u}, \sqrt{v}, \sqrt{w}$ )<sup>^</sup> as follows:

$$u = \rho a + (1 - \rho)b, v = \frac{\rho a^2 + (1 - \rho)b^2}{\rho a + (1 - \rho)b}, w = \frac{\rho a^3 + (1 - \rho)b^3}{\rho a^2 + (1 - \rho)b^2}.$$
(2.5)

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From (2.4), we note that the sequence  $(\sqrt{u}, \sqrt{v}, \sqrt{w})^{\wedge}$  is exactly the same as the weight sequence  $\alpha(a, b, \rho)$ induced by a triplet (a, b,  $\rho$ ).

Let a weight sequence  $\alpha(x; a, b, \rho)$  be a backward extension of  $\alpha(a, b, \rho)$  as follows:

$$\alpha(x;a,b,\rho): \sqrt{x}, \sqrt{\frac{\rho a^n + (1-\rho)b^n}{\rho a^{n-1} + (1-\rho)b^{n-1}}} \quad (n \ge 1).$$
(2.6)

For the recursive weight sequence  $\alpha(a, b, \rho)$ , using formulas in (2.5), we have

$$H_2(a, b, \rho) = \sqrt{\frac{ab}{a(1-\rho) + b\rho}}.$$
(2.7)

Combining (2.7) for the weight sequence  $\alpha(a, b, \rho)$  and the results of [11, Theorem 1.3] and [22, Theorem 4.3], we can obtain the following proposition.

**Proposition 2.3.** For a recursive weight sequence  $\alpha(x; a, b, \rho)$  as in (2.6), let  $W_{\alpha(x;a,b,\rho)}$  be the associated weighted shift. Then the following assertions are equivalent:

(i)  $W_{\alpha(x;a,b,\rho)}$  is 2-hyponormal;

(ii)  $W_{\alpha(x;a,b,\rho)}$  is subnormal;

(iii)  $W_{\alpha(x;a,b,\rho)}$  is polynomially hyponormal; (iv)  $W_{\alpha(x;a,b,o)}$  is weakly k-hyponormal, for any positive integer  $k \ge 2$ ; (v)  $W_{\alpha(x;a,b,o)}$  is semi-weakly k-hyponormal, for any positive integer  $k \ge 2$ ; (vi)  $W_{\alpha(x;a,b,\rho)}$  is completely semi-weakly hyponormal; (vii)  $0 < x \leq \frac{ab}{a(1-\rho)+b\rho}$ 

**Example 2.4.** For the case of  $a = \frac{1}{2}$ ,  $b = \frac{2}{3}$  and  $\rho = \frac{1}{3}$  in  $\alpha(a, b, \rho)$ , we consider a backward extension weight sequence  $\alpha(x; \frac{1}{2}, \frac{2}{3}, \frac{1}{3})$  as follows:

$$\alpha\left(x;\frac{1}{2},\frac{2}{3},\frac{1}{3}\right): \sqrt{x}, \sqrt{\frac{11}{18}}, \sqrt{\frac{41}{66}}, \sqrt{\frac{155}{246}}, \sqrt{\frac{593}{930}}, \cdots$$

Let  $W_{\alpha(x;\frac{1}{2},\frac{2}{3},\frac{1}{3})}$  be the corresponding weighted shift. From Proposition 2.3, we can see that

 $W_{\alpha(x;\frac{1}{2},\frac{2}{3},\frac{1}{3})}$  is 2-hyponormal  $\iff W_{\alpha(x;\frac{1}{2},\frac{2}{3},\frac{1}{3})}$  is subnormal  $\iff 0 < x \le \frac{3}{5}$ .

### 3. Quadratic hyponormality of a weighted shift $W_{\alpha(x;a,b,o)}$

Recall that *T* is *semi-weakly k-hyponormal* if  $T + sT^k$  is hyponormal for  $k \ge 2$ , i.e.

 $\left[\left(T+sT^k\right)^*, T+sT^k\right] \ge 0,$ 

for all  $s \in \mathbb{C}$  ([17]). It is obvious that the semi-weak 2-hyponormality is equivalent to the quadratic hyponormality. Throughout this paper we may consider  $k \ge 2$ . In this section, we first formulate a rather simple criterion for the quadratic hyponormality of a recursively generated weighted shifts  $W_{\alpha(x;a,b,\rho)}$  with the weight sequence  $\alpha(x; a, b, \rho)$  as in (2.6).

For a weighted shift  $W_{\alpha(x)}$  with  $\alpha(x) : \sqrt{x}$ ,  $(\sqrt{u}, \sqrt{v}, \sqrt{w})^{\wedge}$  (x < u < v < w), it is well-known the following formula:

$$h_{2}^{+} = \min\left\{u, \frac{u^{2}v^{2}w + uv^{2}(w-u)K + uv(w-v)K^{2}}{u^{3}v + uv(w-u)K + (u^{2} + vw - 2uv)K^{2}}\right\},$$
(3.1)

where  $h_2^+ = \left(\sup\{x > 0 : W_{\alpha(x)} \text{ is positive quadratically hyponormal}\right)^{1/2}([9, \text{ Theorem 4.3}]).$ 

We now consider the weight sequence  $\alpha(a, b, \rho)$  induced by a triplet  $(a, b, \rho)$  with  $a < b \le 1$  and  $0 < \rho < 1$ , it holds from Lemma 2.2 that  $K = \frac{1}{a} (a + b)^2$ . Hence we can obtain the formula  $h_2^+$  in (3.1) for  $\alpha(a, b, \rho)$  as follows:

$$h_{2}^{+} = \min\left\{\rho a + (1-\rho)b, \frac{a\sum_{l=0}^{7}\varphi_{l}(\rho)\left(\frac{b}{a}\right)^{l}}{\sum_{l=0}^{7}\psi_{l}(\rho)\left(\frac{b}{a}\right)^{l}}\right\},$$
(3.2)

where

$$\begin{split} \varphi_{0}(\rho) &= \rho^{2}, \\ \varphi_{1}(\rho) &= \rho(2\rho+1)(1-\rho), \\ \varphi_{2}(\rho) &= \rho(1-\rho)(3-2\rho), \\ \varphi_{3}(\rho) &= b^{3}\rho(1-3\rho)(1-\rho), \\ \varphi_{4}(\rho) &= -\rho(1-\rho)(5-2\rho), \\ \varphi_{5}(\rho) &= -2\rho(1-\rho)^{2}, \\ \varphi_{6}(\rho) &= (1-\rho)(\rho+1), \\ \varphi_{7}(\rho) &= \rho(1-\rho)(2-\rho), \end{split} \text{ and } \end{split}$$

We also recall that for a weighted shift  $W_{\alpha(x)}$  with  $\alpha(x) : \sqrt{x}, (\sqrt{u}, \sqrt{v}, \sqrt{w})^{\wedge}$ , Jung-Park proved the following([19, Theorem 4.6]):

the quadratic hyponormality and positive quadratic hyponormality of  $W_{\alpha(x)}$  are equivalent to each other.

Then we can obtain the following result for the recursively generated weighted shifts  $W_{\alpha(x;a,b,\rho)}$ .

**Proposition 3.1.** Let a weight sequence  $\alpha(x; a, b, \rho)$  be given as in (2.6) and let  $W_{\alpha(x;a,b,\rho)}$  be the corresponding weighted shift. Then the followings are equivalent: (i)  $W_{\alpha(x;a,b,\rho)}$  is quadratically hyponormal; (ii)  $0 < x \le h_2^+$ .

**Example 3.2.** (Continued Example 2.4) Let  $W_{\alpha(x;\frac{1}{2},\frac{2}{3},\frac{1}{3})}$  be a weighted shift with a sequence  $\alpha(x;\frac{1}{2},\frac{2}{3},\frac{1}{3})$ . Applying to (3.2), we get  $h_2^+ = \min\left\{\frac{11}{18}, \frac{295465}{468742}\right\}$ , so  $h_2^+ = \frac{11}{18} \approx 0.61111$ . Hence from Proposition 3.1,  $W_{\alpha(x;\frac{1}{2},\frac{2}{3},\frac{1}{3})}$  is quadratically hyponormal if and only if  $0 < x \le \frac{11}{18}$ .

For a triplet  $(a, b, \rho)$  with  $0 < a < b \le 1$  and  $0 < \rho < 1$ , without loss of generality, we may assume that b = 1. In fact we can take  $a = \frac{a'}{b'}$  and  $b = \frac{b'}{b'}$  for the triplet  $(a', b', \rho)$  with  $a' < b' \le 1$ . Hence we consider  $(a, 1, \rho)$  instead of  $(a, b, \rho)$  for our convenience. Now we define a backward extension weight sequence  $\alpha(x; a, 1, \rho)$  of  $\alpha(a, 1, \rho)$  with  $0 < a, \rho < 1$  as follows:

$$\alpha(x;a,1,\rho): \sqrt{x}, \sqrt{\frac{\rho a^n + 1 - \rho}{\rho a^{n-1} + 1 - \rho}} \quad (n \ge 1).$$
(3.3)

**Proposition 3.3.** Let  $W_{\alpha(x;a,1,\rho)}$  be the weighted shift with a weight  $\alpha(x;a,1,\rho)$  in (3.3). Then the following assertions are equivalent: (i)  $W_{\alpha(x;a,1,\rho)}$  is augdratically hyponormal:

(i) 
$$W_{\alpha(x;a,1,\rho)}$$
 is quadratically hyponormal;  
(ii)  $0 < x \le h_2^+ = \min\left\{1 - \omega, \frac{a(\eta_3\omega^3 + \eta_2\omega^2 - \eta_1\omega + a)}{\zeta_4\omega^4 - \zeta_3\omega^3 - \zeta_2\omega^2 - \zeta_1\omega + a^2}\right\}$ , where  $\omega = (1 - a)\rho$ ,  

$$\begin{cases} \eta_3 = (a+1)\left(2a^2 + 2a + 1\right), \\ \eta_2 = a^5 + 3a^4 - 7a^2 - 7a - 3, \\ \eta_1 = 4a^3 + 7a^2 + 3a + 1, \end{cases}$$

$$\begin{cases} \zeta_4 = a^2(a+1), \\ \zeta_3 = 4a^3 + 7a^2 + 3a + 1, \\ \zeta_2 = a^5 + 6a^4 + 8a^3 + a^2 - 1, \\ \zeta_1 = a\left(a^5 + 4a^4 + 4a^3 - a^2 - a - 2\right) \end{cases}$$

*Proof.* To prove this result, we apply the weight sequence  $\alpha(x; a, 1, \rho)$  to Proposition 3.1. By substituting b = 1 into the formula in (3.2), we can obtain the same formula  $h_2^+$  in the result.

In particular, if we consider the case  $\rho = \frac{1}{2}$  in the weight sequence  $\alpha(a, 1, \rho)$ , then from Proposition 3.3 the following result holds.

**Corollary 3.4.** Let  $W_{\alpha(x;a,1,1/2)}$  be the weighted shift with  $\alpha(x;a,1,1/2)$  as follows:

$$\alpha\left(x;a,1,\frac{1}{2}\right): \sqrt{x}, \sqrt{\frac{a^{n}+1}{a^{n-1}+1}} \quad (n \ge 1).$$

Then the following assertions are equivalent: (i)  $W_{\alpha(x;a,1,1/2)}$  is quadratically hyponormal; (ii)  $0 < x \le h_2^+$ , where

$$h_2^+ = \begin{cases} \frac{2a(2a^5 + 2a^3 - 5a^2 + 3)}{(a+1)(5a^4 - 2a^3 - 5a^2 + 2a + 2)} & \text{if } a \le c_0, \\ \frac{a+1}{2} & \text{if } c_0 < a, \end{cases}$$

*for some*  $c_0 \in (0, 1)$ *.* 

*Proof.* For the sequence  $\alpha(x; a, 1, \frac{1}{2})$  with  $0 < a, \rho < 1$ , it follows from (3.2) that  $1 - \omega = \frac{a+1}{2}$  and

$$h_2^+ = \min\left\{\frac{a+1}{2}, \frac{2a(2a^5+2a^3-5a^2+3)}{(a+1)(5a^4-2a^3-5a^2+2a+2)}\right\}$$

Since

$$\frac{2a\left(2a^5+2a^3-5a^2+3\right)}{(a+1)\left(5a^4-2a^3-5a^2+2a+2\right)}-\frac{a+1}{2}=\frac{(a-1)^2\left(3a^4-2a^3+5a^2+2a-2\right)}{2(a+1)\left(5a^4-2a^3-5a^2+2a+2\right)},$$

we consider a function f(a) on (0, 1) as

$$f(a) = \frac{3a^4 - 2a^3 + 5a^2 + 2a - 2}{5a^4 - 2a^3 - 5a^2 + 2a + 2}.$$

From simple computations,

$$f'(a) = \frac{4(a-1)(a^5 - 19a^4 - 17a^3 - a^2 - 2a - 2)}{(5a^4 - 2a^3 - 5a^2 + 2a + 2)^2}.$$

For 0 < a < 1, it is clear that  $a^5 - 19a^4 - 17a^3 - a^2 - 2a - 2 < 0$ , which implies f'(a) > 0, i.e. f(a) is increasing function on (0, 1). Since f(0) < 0, the equation f(a) = 0 has a unique positive solution  $c_0 \approx 0.4725249$  on (0, 1). Hence we have completed the proof.

**Example 3.5.** For the case a = 1/3 and  $\rho = 1/2$  in  $\alpha(a, 1, \rho)$ , we consider a weighted shift  $W_{\alpha(x;1/3,1,1/2)}$  with the weight sequence  $\alpha(x; 1/3, 1, 1/2)$  as follows:

$$\alpha\left(x;\frac{1}{3},1,\frac{1}{2}\right): \sqrt{x}, \sqrt{\frac{2}{3}}, \sqrt{\frac{5}{6}}, \sqrt{\frac{14}{15}}, \sqrt{\frac{41}{42}}, \cdots$$

Since  $a = 1/3 < c_0$ , from Corollary 3.4, it holds  $h_2^+ = \frac{307}{510} \approx 0.60196$ . Hence it holds that  $W_{\alpha(x;1/3,1,1/2)}$  is quadratically hyponormal  $\Leftrightarrow 0 < x \le \frac{307}{510}$ .

Now to show more simple formula for  $h_2^+$ , we consider a backward extension weight sequence  $\alpha(x; a, 1, a)$ , i.e.  $\rho = a$ . From (3.3), the sequence  $\alpha(x; a, 1, a)$  with 0 < a < 1 is defined by

$$\alpha(x;a,1,a): \sqrt{x}, \sqrt{\frac{a^{n+1}-a+1}{a^n-a+1}} \quad (n \ge 1).$$
(3.4)

Then we can have the following result.

**Corollary 3.6.** Let  $\alpha(x; a, 1, a)$  be a weight sequence as in (3.4) and let  $W_{\alpha(x;a,1,a)}$  be the corresponding weighted shift. Then the following assertions are equivalent: (i)  $W_{\alpha(x;a,1,a)}$  is quadratically hyponormal;

(ii)  $0 < x \le h_2^+$ , where

$$h_2^+ = \begin{cases} \frac{a^8 - 3a^7 + a^6 + 3a^5 - 6a^4 + a^3 + 2a^2 + 3a - 3}{-a^9 + 3a^8 - 5a^7 + 6a^6 + 3a^5 - 19a^4 + 12a^3 + 4a - 4}, & \text{if } a \le c_1, \\ a^2 - a + 1, & \text{if } c_1 < a, \end{cases}$$

for some  $c_1 \in (0, 1)$ .

*Proof.* Using the similar computations in the proof of Corollary 3.4 for  $\alpha(x; a, 1, a)$ , we can obtain

$$h_2^+ = \min\left\{a^2 - a + 1, \frac{a^8 - 3a^7 + a^6 + 3a^5 - 6a^4 + a^3 + 2a^2 + 3a - 3}{-a^9 + 3a^8 - 5a^7 + 6a^6 + 3a^5 - 19a^4 + 12a^3 + 4a - 4}\right\}.$$

Also we have

$$\frac{a^8 - 3a^7 + a^6 + 3a^5 - 6a^4 + a^3 + 2a^2 + 3a - 3}{-a^9 + 3a^8 - 5a^7 + 6a^6 + 3a^5 - 19a^4 + 12a^3 + 4a - 4} - (a^2 - a + 1)$$
  
=  $\frac{(a - 1)^3 (-a^8 + a^7 - 3a^6 + 5a^4 - 5a^3 + a^2 - 2a + 1)}{a^9 - 3a^8 + 5a^7 - 6a^6 - 3a^5 + 19a^4 - 12a^3 - 4a + 4} \equiv (a - 1)^3 g(a).$ 

For 0 < a < 1, it is obvious from some computations that g'(a) is always negative, which implies that the function g(a) is decreasing on (0, 1). Since g(0) > 0 and g(1) < 0, the equation g(a) = 0 has a unique positive solution  $c_1 \approx 0.461\,028$  on (0, 1). Hence we have completed the proof.

**Example 3.7.** For the case a = 1/2 in  $\alpha(a, 1, a)$ , we consider a weighted shift  $W_{\alpha(x;1/2,1,1/2)}$  with the sequence  $\alpha(x; 1/2, 1, 1/2)$  as follows:

$$\alpha\left(x;\frac{1}{2},1,\frac{1}{2}\right): \sqrt{x}, \sqrt{\frac{3}{4}}, \sqrt{\frac{5}{6}}, \sqrt{\frac{9}{10}}, \sqrt{\frac{17}{18}}, \cdots$$

Since  $c_1 < a$ , by Corollary 3.6 we have  $h_2^+ = a^2 - a + 1 = \frac{3}{4}$ . Hence  $W_{\alpha(x;1/2,1,1/2)}$  is quadratically hyponormal  $\Leftrightarrow 0 < x \leq \frac{3}{4}$ .

## 4. Main results

In this section we discuss with Problem 1.2. To obtain an affirmative answer about Problem 1.2, it is worthwhile to consider a weighted shift  $W_{\beta}$  with a weight sequence  $\beta : 1, 1, \beta_2, \beta_3, \cdots$  satisfying  $1 < \beta_2 < \beta_3 < \cdots$ . For this purpose, we deal with a recursive weight sequence  $\alpha(a, 1, \rho)$  with two atoms  $\{a, 1\}$  and density  $\rho$  ( $0 < a, \rho < 1$ ) introduced in Section 2. Now for our convenience and without loss of generality, we may consider new two atoms as follows:

$$\frac{a}{\rho a + 1 - \rho}$$
 and  $\frac{1}{\rho a + 1 - \rho}$  (0 < a,  $\rho$  < 1). (4.1)

Then the associated two coefficients  $\psi_0$  and  $\psi_1$  of the quadratic generating function for two atoms as in (4.1) become

$$\psi_0 = -\frac{a}{(\rho a + 1 - \rho)^2} \text{ and } \psi_1 = \frac{a+1}{\rho a + 1 - \rho}.$$
(4.2)

Hence according to the usual methods in Section 2, we can have a recursively generated weight sequence  $\beta(a, \rho)$ , *that is* 

$$\beta(a,\rho):1, \ \sqrt{\frac{\rho a^2 + 1 - \rho}{(\rho a + 1 - \rho)^2}}, \ \sqrt{\frac{\rho a^{n+1} + 1 - \rho}{(\rho a + 1 - \rho)(\rho a^n + 1 - \rho)}} \quad (n \ge 2).$$

$$(4.3)$$

We now consider the main weight sequence  $\beta(1; a, \rho) = \{\beta_n\}_{n=0}^{\infty}$ , a backward extension of  $\beta(a, \rho)$  in (4.3) with first two equal weights as follows:

$$\beta(1;a,\rho):1,1, \sqrt{\frac{\rho a^n + 1 - \rho}{(\rho a + 1 - \rho)(\rho a^{n-1} + 1 - \rho)}} \ (n \ge 2)$$
(4.4)

and denote  $W_{\beta(1;a,\rho)}$  for the weighted shift with  $\beta(1;a,\rho)$ . Then we can obtain the fundamental lemma.

**Lemma 4.1.** Let a weight sequence  $\beta(1; a, \rho)$  be given as in (4.4). Set

$$Q_k := \frac{M^k - N^k}{\sqrt{\psi_1^2 + 4\psi_0}} \left( \frac{1 - \left( -\frac{M^2}{\psi_0} \right)^k}{1 + \frac{M^2}{\psi_0}} \right),$$

where

$$M := \frac{\psi_1 + \sqrt{\psi_1^2 + 4\psi_0}}{2}, N := \frac{\psi_1 - \sqrt{\psi_1^2 + 4\psi_0}}{2}.$$

Then

$$Q_k = \frac{\left(\sum_{i=1}^k a^{i-1}\right)^2}{(a(\rho a + 1 - \rho))^{k-1}}.$$
(4.5)

Proof. It follows from (4.2) that

$$\sqrt{\psi_1^2 + 4\psi_0} = \frac{1-a}{\rho a + 1 - \rho}, \ M = \frac{1}{\rho a + 1 - \rho}, \ N = \frac{a}{\rho a + 1 - \rho}.$$

Since 0 < a < 1,  $\frac{a}{\rho a + 1 - \rho} < \frac{1}{\rho a + 1 - \rho}$ . It follows from Lemma 2.2 that  $L^2 = \frac{1}{\rho a + 1 - \rho} = M$ , which implies  $\frac{M^2}{\psi_0} = -\frac{1}{a}$ . Also for all  $k \ge 2$ ,

$$\frac{M^k - N^k}{\sqrt{\psi_1^2 + 4\psi_0}} = \frac{\rho a + 1 - \rho}{1 - a} \left( \frac{1}{(\rho a + 1 - \rho)^k} - \frac{a^k}{(\rho a + 1 - \rho)^k} \right) = \frac{(\rho a + 1 - \rho)(1 - a^k)}{(1 - a)(\rho a + 1 - \rho)^k}.$$
(4.6)

To obtain the formula of  $Q_k$ , using the third formula in (4.6), it holds that for all  $k \ge 2$ 

$$Q_{k} = \frac{(\rho a + 1 - \rho)(1 - a^{k})}{(1 - a)(\rho a + 1 - \rho)^{k}} \cdot \frac{1 - \left(\frac{1}{a}\right)^{k}}{1 - \frac{1}{a}}$$
$$= \frac{(\rho a + 1 - \rho)(1 - a^{k})}{(1 - a)(\rho a + 1 - \rho)^{k}} \cdot \frac{a(1 - a^{k})}{(1 - a)a^{k}}$$
$$= \frac{(1 - a^{k})^{2}}{a^{k - 1}(1 - a)^{2}(\rho a + 1 - \rho)^{k - 1}}$$
$$= \frac{\left(1 + a + a^{2} + \dots + a^{k - 1}\right)^{2}}{\left(a(a\rho + 1 - \rho)\right)^{k - 1}}.$$

Hence the proof is completed.

For the recursive sequence  $\beta(1; a, \rho)$  with first two equal weights as in (4.4), applying to the formula [23, Proposition 3.3] via some computations for formulas in (2.5), we can obtain the following result.

**Proposition 4.2.** For  $0 < a, \rho < 1$ , let  $\beta(1; a, \rho)$  be given as in (4.4) and let  $W_{\beta(1;a,\rho)}$  be the associated weighted shift. Suppose  $k \ge 2$ . Then  $W_{\beta(1;a,\rho)}$  is semi-weakly k-hyponormal if and only if  $\Theta_k(a, \rho) \ge 1$ , where

$$\Theta_k := \frac{\beta_k^2 \beta_{k+1}^2 \cdots \beta_{2k-1}^2 (\beta_1^2 \beta_2^2 \cdots \beta_k^2 + Q_k) - Q_k \beta_1^2 \beta_2^2 \cdots \beta_{k-1}^2 \beta_k^4}{\beta_1^2 \beta_2^2 \cdots \beta_{k-1}^2 (\beta_1^2 \beta_2^2 \cdots \beta_k^2 + Q_k - 2\beta_k^2 Q_k) + Q_k \beta_k^2 \beta_{k+1}^2 \cdots \beta_{2k-1}^2}$$

From Lemma 4.1 and Proposition 4.2, we obtain the main theorem of the paper.

**Theorem 4.3.** For  $0 < a, \rho < 1$ , let  $\beta(1; a, \rho)$  be given as in (4.4) and let  $W_{\beta(1;a,\rho)}$  be the associated weighted shift. Suppose  $k \ge 2$ . Then  $W_{\beta(1;a,\rho)}$  is semi-weakly k-hyponormal if and only if  $h_k(a, \rho) \ge 0$ , where

$$h_k(a,\rho) = \left(a^k - 1\right)^2 \rho^2 + \left(2a^k - a^{k-1} - 1\right)\rho + a^{k-1}.$$
(4.7)

*Proof.* We first note the formula of  $\Theta_k$  in Proposition 4.2 for the weight sequence  $\beta(1; a, \rho)$  as following:

$$\Theta_{k} = \frac{\beta_{k+1}^{2}\beta_{k+2}^{2}\cdots\beta_{2k}^{2}(\beta_{2}^{2}\cdots\beta_{k+1}^{2}+Q_{k}) - Q_{k}\beta_{2}^{2}\cdots\beta_{k}^{2}\beta_{k+1}^{4}}{\beta_{2}^{2}\cdots\beta_{k}^{2}(\beta_{2}^{2}\cdots\beta_{k+1}^{2}+Q_{k}-2\beta_{k+1}^{2}Q_{k}) + Q_{k}\beta_{k+1}^{2}\beta_{k+2}^{2}\cdots\beta_{2k}^{2}}.$$
(4.8)

From the definition of the weight sequence  $\beta(1; a, \rho)$ ,

$$\beta_i^2 = \frac{\rho a^i + 1 - \rho}{(\rho a + 1 - \rho) \left(\rho a^{i-1} + 1 - \rho\right)} \quad (i \ge 1),$$

which implies that for any *n*,  $\ell \ge 1$ 

$$\beta_n^2 \beta_{n+1}^2 \cdots \beta_{n+\ell-1}^2 = \frac{\rho a^{n+\ell-1} + 1 - \rho}{(\rho a + 1 - \rho)^\ell (\rho a^{n-1} + 1 - \rho)}.$$
(4.9)

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Applying the formulas  $Q_k$  in Lemma 4.1 and (4.9) to (4.8), we can obtain

$$\Theta_k = \frac{\theta_k}{\xi_k},$$

where

$$\theta_k = \frac{\rho a^{2k} + 1 - \rho}{(\rho a + 1 - \rho)^k (\rho a^k + 1 - \rho)} \left( \frac{\rho a^{k+1} + 1 - \rho}{(\rho a + 1 - \rho)^{k+1}} + Q_k \right) - \frac{Q_k (\rho a^{k+1} + 1 - \rho)^2}{(\rho a + 1 - \rho)^{k+2} (\rho a^k + 1 - \rho)}$$

and

$$\xi_{k} = \frac{\rho a^{k} + 1 - \rho}{(\rho a + 1 - \rho)^{k}} \left( \frac{\rho a^{k+1} + 1 - \rho}{(\rho a + 1 - \rho)^{k+1}} + Q_{k} - \frac{2(\rho a^{k+1} + 1 - \rho)Q_{k}}{(\rho a + 1 - \rho)(\rho a^{k} + 1 - \rho)} \right) + \frac{Q_{k}(\rho a^{2k} + 1 - \rho)}{(\rho a + 1 - \rho)^{k}(\rho a^{k} + 1 - \rho)}$$

It follows from some computations that  $\theta_k > 0$  and  $\xi_k > 0$  for all  $k \ge 2$ . Hence  $\Theta_k \ge 1 \Leftrightarrow \theta_k - \xi_k \ge 0$  ( $k \ge 2$ ). Using  $Q_k$  in (4.5) and  $h_k(a, \rho)$  in (4.7), we have

$$\begin{aligned} \theta_k - \xi_k &= \frac{\rho (1-\rho) (a^k - 1)^2}{(\rho a^k + 1 - \rho) (\rho a + 1 - \rho)^{2k+1}} \left( \frac{-\rho (1-\rho) (a^k - 1)^2}{a^{k-1}} + a^k \rho + 1 - \rho \right) \\ &= \frac{\rho (1-\rho) (a^k - 1)^2 a^{k-1}}{(\rho a^k + 1 - \rho) (\rho a + 1 - \rho)^{2k+1}} h_k(a, \rho). \end{aligned}$$

Since  $0 < a, \rho < 1$ , it holds that  $\Theta_k \ge 1 \Leftrightarrow h_k(a, \rho) \ge 0$ , which completes the proof.

Set for  $k \ge 2$ ,

$$\mathcal{RH}_k := \{(a, \rho) : h_k(a, \rho) \ge 0, \ 0 < a, \rho < 1\}.$$

From Theorem 4.3, we can describe regions of  $\mathcal{RH}_k$  for each  $k \ge 2$  in Figure 1, which provide distinctions and implications of semi-weak *k*-hyponormalities of weighted shift  $W_{\beta(1;a,\rho)}$  with  $0 < a, \rho < 1$ .



Figure 1: Regions of semi-weak *k*-hyponormality of  $W_{\beta(1;a,\rho)}$ .

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For more simplicity of characterization of semi-weak *k*-hyponormality of  $W_{\beta(1;a,\rho)}$ , we consider the projection of the set  $\mathcal{RH}_k$  onto the diagonal set { $(a, \rho) : 0 < a, \rho < 1, \rho = a$ }, that is, we take the recursive weight sequence  $\beta(1;a,a)$  (0 < a < 1) as follows:

$$\beta(1;a,a):1,1,\sqrt{\frac{a^3-a+1}{(a^2-a+1)^2}},\sqrt{\frac{a^4-a+1}{(a^2-a+1)(a^3-a+1)}},\cdots.$$
(4.10)

Using Theorem 4.3, we can obtain the following assertion.

**Proposition 4.4.** For 0 < a < 1, let  $W_{\beta(1;a,a)}$  be the weighted shift with  $\beta(1;a,a)$  as in (4.10). Suppose  $k \ge 2$ . Then  $W_{\beta(1;a,a)}$  is semi-weakly k-hyponormal if and only if  $s_k(a) \ge 0$ , where

$$s_k(a) = \begin{cases} a^{2k+1} - 2a^{k+1} + 2a^k - a^{k-1} + a^{k-2} + a - 1 & \text{for } k \ge 3, \\ a^2(a^3 - 2a + 2) & \text{for } k = 2. \end{cases}$$
(4.11)

In particular,  $W_{\beta(1;a,a)}$  is quadratically hyponormal if and only if 0 < a < 1.

*Proof.* Substituting  $\rho = a$  to  $h_k(a, \rho)$  in (4.7), we have  $h_k(a, a) = as_k(a)$ . From Theorem 4.3, we obtain the first result.

Next to show the range of the quadratic hyponormality for  $W_{\beta(1;a,a)}$ , we consider the function  $s_2(a)$  as in (4.11). For our convenience, put

$$t(a) = a^3 - 2a + 2.$$

It follows from simple computations that t(a) has the positive local minimum at  $a = \sqrt{\frac{2}{3}}$ , which implies  $s_2(a) > 0$  for all 0 < a < 1. Hence we have completed the proof.

Let a weight sequence  $\beta(1; a, a)$  be as in (4.10) and let  $W_{\beta(1;a,a)}$  be the corresponding weighted shift. We now consider the following set

 $sWH_k := \{a \in (0, 1) : W_{\beta(1;a,a)} \text{ is semi-weakly } k\text{-hyponormal} \} \text{ for } k \ge 2.$ 

From Proposition 4.4 it is obvious that  $sWH_2 = (0, 1)$ .

**Theorem 4.5.** Suppose that  $k \ge 3$  and 0 < a < 1. Let  $W_{\beta(1;a,a)}$  be the weighted shift with  $\beta(1;a,a)$  as in (4.10). Denote  $r_k$  for a positive zero of the function  $s_k(a)$  as in (4.11). Then the following assertions hold: (i)  $\{r_k\}_{k=3}^{\infty}$  is a strictly increasing sequence in (0, 1); (ii)  $sWH_k = [r_k, 1)$  for  $3 \le k < \infty$ ; (iii)  $sWH_k \setminus sWH_{k+1} = [r_k, r_{k+1})$  and (0, 1)  $\supseteq sWH_3 \supseteq \cdots \supseteq sWH_k \supseteq sWH_{k+1} \supseteq \cdots$ .

*Proof.* Consider the function  $s_n(a)$  for  $n \ge 3$  in Proposition 4.4,

 $s_n(a) = a^{2n+1} - 2a^{n+1} + 2a^n - a^{n-1} + a^{n-2} + a - 1.$ 

Then for all  $n \ge 3$ , the continuous function  $s_n(a)$  passes through two fixed points (0, -1) and (1, 1), which induces that there exists a zero  $r_n \in (0, 1)$  of the equation  $s_n(a) = 0$ . Also from simple computations, we have

$$s'_{n}(a) = (2n+1)a^{2n} - 2(n+1)a^{n} + 2na^{n-1} - (n-1)a^{n-2} + (n-2)a^{n-3} + 1,$$
  

$$s''_{n}(a) = a^{n-4} \left( 2(2n+1)na^{n+3} - 2(n+1)na^{3} + 2(n-1)na^{2} - (n-1)(n-2)a + (n-2)(n-3) \right).$$

For  $n \ge 3$ , it holds that  $s''_n(a) > 0$  for 0 < a < 1, which induces that the function  $s'_n(a)$  is increasing on (0, 1). By  $s'_n(0) > 0$ ,  $s'_n(a) > 0$  for 0 < a < 1. So the function  $s_n(a)$  is strictly increasing on (0, 1), which guarantees that there exists a unique positive root  $r_n \in (0, 1)$  satisfying  $s_n(r_n) = 0$  for each  $n \ge 3$ . For the positive zero  $r_n$   $(3 \le n < \infty)$ , from some computations we can obtain that

$$s_{n+1}(r_n) = r_n s_n(r_n) + (r_n - 1) \left( r_n^{2(n+1)} - r_n + 1 \right), \ n \ge 3.$$
(4.12)

Since the function  $s_n(a)$  is increasing on (0, 1), the value of  $s_{n+1}(r_n)$  in (4.12) turns out to be negative, *i.e.*  $s_{n+1}(r_n) < 0$ , which implies  $r_n \leq r_{n+1}$  ( $n \geq 3$ ). Then by mathematical induction, the following inequalities hold:

$$0 < r_3 \leq r_4 \leq \dots \leq r_n \leq r_{n+1} \leq \dots < 1. \tag{4.13}$$

Hence the sequence  $\{r_n\}_{n=3}^{\infty}$  is a strictly increasing sequence in the interval (0, 1). Also by conditions of the  $s_n(a)$  and uniqueness of the positive root  $r_n$  for all  $n \ge 3$  and 0 < a < 1, we can obtain that  $r_n = \inf sWH_n$  and the set  $sWH_n$  is a connected interval, *that is*,

$$sWH_n = [r_n, 1) \text{ for } 3 \le n < \infty.$$

Therefore by (4.13), we have completed the proofs.

Finally, we close this note providing mutually disjoint approximate values for  $r_k$  for useful finite numbers of k = 3, 4, 5.

**Corollary 4.6.** Let  $W_{\beta(1;a,a)}$  be the weighted shift. Then the following assertions hold: (i)  $W_{\beta(1;a,a)}$  is quadratically but not semi-cubically hyponormal  $\Leftrightarrow 0 < a < r_3 (\approx 0.574)$ ; (ii)  $W_{\beta(1;a,a)}$  is semi-cubically but not semi-quartically hyponormal  $\Leftrightarrow r_3 \le a < r_4 (\approx 0.682)$ ; (iii)  $W_{\beta(1;a,a)}$  is semi-quartically but not semi-quintically hyponormal  $\Leftrightarrow r_4 \le a < r_5 (\approx 0.741)$ .

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