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Semi-weak *k***-hyponormality of recursively generated weighted shifts with first two equal weights**

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Abstract. Semi-weak *k*-hyponormality has been considered to study the weak subnormality of Hilbert space operators. In this paper, we consider a recursive weight sequence $\alpha(a, b, \rho)$ induced by two atomic Berger measure with atoms {*a*, *b*} and density ρ for $0 < a$, b , $\rho < 1$, and the corresponding weighted shift $W_{\alpha(a,b,\rho)}$. For all $k \geq 2$, we characterize semi-weak *k*-hyponormalities of recursively generated weighted shifts with first two equal weights. We also show that a semi-weakly *k*-hyponormal weighted shift needs not satisfy the flatness property, in which equality of first two weights forces all weights to be equal.

1. Introduction and Preliminaries

Let H be a separable infinite dimensional complex Hilbert space and let $B(H)$ be the algebra of all bounded linear operators on H. A bounded operator *T* is *subnormal* if it is the restriction of a normal operator to a (closed) invariant subspace. For *A* and *B* in B(H), we let [*A*, *B*] := *AB* − *BA*. A *k*-tuple $(T_1, ..., T_k)$ of bounded operators in $\mathcal{B}(H)$ is called *hyponormal* if the operator matrix ([T^{*}_{*i*} $\int\limits_{j}^{*} T_i$]) $\int\limits_{i,j=1}^{k}$ is positive on the direct sum of $H \oplus \cdots \oplus H$ (*k*-copies). An operator $T \in \mathcal{B}(\mathcal{H})$ is said to be (*strongly*) *k*-*hyponormal* if (*T*, ..., *T k*) is hyponormal ([5],[8],[9]). Obviously, 1-hyponormal operator *T* is hyponormal. It is well known that according to the Bram-Halmos' criterion, an operator *T* is subnormal if and only if *T* is *k*-hyponormal for all $k \in \mathbb{N}$, where $\mathbb N$ is the set of positive integers ([3]).

An operator *T* is said to be *polynomially hyponormal* if *p*(*T*) is hyponormal for all complex polynomials *p*. For $k \in \mathbb{N}$, an operator *T* is *weakly k-hyponormal* if for every polynomial *p* of degree *k* or less, *p*(*T*) is hyponormal ($[8]$, $[15]$, $[16]$). For $k = 2$, *T* is said to be *quadratically hyponormal*. An operator *T* is called *semi-weakly k-hyponormal* if $T + sT^k$ is hyponormal for all *s* in the set $\mathbb C$ of complex numbers ([17]). An operator *T* is *completely semi-weakly hyponormal* if *T* is semi-weakly *k*-hyponormal for all *k* ∈ N ([21],[23]). Clearly, quadratic hyponormality is equivalent to semi-weak 2-hyponormality. The following implications hold: subnormal ⇒ polynomially hyponormal ⇒ completely semi-weakly hyponormal, and weakly *k*hyponormal ⇒ semi-weakly *k*-hyponormal. However it is known that converse implications are not

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always true ([17],[21]). Sometimes [semi-]weak 3- and 4-hyponormality are referred to as [*semi*-]*cubic* and *quartic hyponormality*.

For a bounded weight sequence $\alpha = {\alpha_i}_{i=1}^{\infty}$ $\sum_{i=0}^{\infty}$ of positive real numbers, the *weighted shift* W_{α} acting on $\ell^2(\mathbb{N}_0)$, with an orthonormal basis $\{e_i\}_{i=1}^{\infty}$ $\sum_{i=0}^{\infty}$ is defined by $W_{\alpha}e_j = \alpha_j e_{j+1}$ for all $j \in \mathbb{N}_0 := \mathbb{N} \cup \{0\}$. Weighted shifts have played important roles in detecting properties of weak subnormality ([12], [13], [14]). In the area of gap theory between subnormality and hyponormality, the flatness is important to detect the structure of such weighted shifts (cf. [4], [5], [6], [20]). The flatness of subnormal weighted shifts was begun by J. Stampfli ([24]); he proved that if W_α is a subnormal weighted shift with the weight sequence $\alpha = \{\alpha_i\}_{i=1}^\infty$ *i*=0 and $\alpha_0 = \alpha_1$, then $\alpha_0 = \alpha_1 = \alpha_2 = \cdots$, i.e. *flat*. In [6] R. Curto improved his result as that if W_α is a 2-hyponormal weighted shift with first two equal weights, then the sequence α is flat. Also he proved that *W*_α is quadratically hyponormal with the weight α : $\sqrt{\frac{2}{3}}$, $\sqrt{\frac{2}{3}}$, $\sqrt{\frac{3}{4}}$, $\sqrt{\frac{4}{5}}$, \cdots . Hence the following problem arose naturally.

Problem 1.1 ([7, Problem 4]). Describe all quadratically hyponormal weighted shifts W_α with the first two equal weights.

Since R. Curto introduced Problem 1.1 in 1991, several operator theorists have studied this problem for more 30 years. In [4], Choi proved this flatness in the case of polynomially hyponormal weighted shift. Li-Cho-Lee in [20] proved that if W_α a cubically hyponormal weighted shift with α satisfying the first two equal weights, then α forces flatness of W_{α} .

There are another family of subnormal shifts arising from *Stampfli's subnormal completion* ([24]): for positive real numbers *u*, *v*, *w* with $u < v < w$, there exists a recursively subnormal weighted shift $W_{(\sqrt{u}, \sqrt{v}, \sqrt{w})^N}$ (cf. [2], [10], [18], [22]). In [9] Curto-Fialkow proved that there exists $1 < x < y$ such that $W_{1,(1,\sqrt{x},\sqrt{y})}$ is quadratically hyponormal. For the weighted shift $W_{\alpha(x)}$ with $\alpha(x)$: \sqrt{x} , $(\sqrt{u}, \sqrt{v}, \sqrt{w})^{\wedge}$ $(x < u < v < w)$, it is quadratically hyponormal. For the weighted shift $W_{\alpha(x)}$ with $\alpha(x)$: \sqrt{x} , $(\sqrt{u}, \sqrt{v}, \sqrt{w})^{\wedge$ well-known [11] that 2-hyponormality of $W_{\alpha(x)}$ is equivalent to subnormality. Moreover, in [23] Li-Lee-Baek proved that subnormality of *W*^α(*x*) is equivalent to polynomial hyponormality and completely semi-weak hyponormality (cf. Proposition 2.3).

Due to the result in [20], it holds that every weakly *k*-hyponormal weighed shift with first two equal weights satisfies the flatness property for all *k* ≥ 3, *that is*, Problem 1.1 does not extend to the case of weak *k*-hyponormality. On the other hand, in [17] authors provided an example that a weighted shift *W*^α with $\alpha: \sqrt{\frac{2}{3}}, \sqrt{\frac{3}{3}}, \sqrt{\frac{4}{3}}, \cdots$ is semi-cubically hyponormal but not semi-weakly *k*-hyponormal for any $k \geq 4$. So it is natural question whether a semi-weakly *k*-hyponormal weighted shift with first two equal weights has the flatness property. Hence it is meaningful studying on the following Problem 1.2.

Problem 1.2. Describe semi-weakly *k*-hyponormal weighted shifts with first two equal weights for each integer $k \geq 2$.

Authors in [1] described a nonempty range of *x*, which provides a weighted shift $W_{\alpha(x)}$ with the weight $\alpha(x) : 1, 1,$ √ \overline{x} , $\left(\sqrt{\frac{111}{100}}, \sqrt{\frac{112}{100}}, \sqrt{\frac{113}{100}}\right)$ being a semi-cubically hyponormal. Therefore it is worthwhile to find appropriate weighted shifts with first two equal weights which can provide a positive answer to Problem 1.2.

This paper consists of four sections. In Section 2 for an arbitrary given triplet (a, b, ρ) with $0 \le$ $a, b, \rho < 1$, we introduce a new notion of a recursively generated weight sequence $\alpha(a, b, \rho)$ induced by two atomic Berger measure. And we show the relationship between $\alpha(a, b, \rho)$ and Stampfli's weight sefwo atomic Berger measure. And we quence (\sqrt{u} , \sqrt{v} , \sqrt{w})^ (0 < *u* < *v* < *w*).

In Section 3 for a recursive sequence $\alpha(a, b, \rho)$ with $0 < a, b, \rho < 1$, we formulate a rather simple formula for quadratic hyponormality of the weighted shift $W_{\alpha(a,b,\rho)}$, and provided some related examples.

In Section 4 we provide a concrete model which gives the affirmative answers to Problem 1.2. Using our model, we characterize semi-weak *k*-hyponormalities of recursively generated weighted shifts with first two equal weights for all $k \ge 2$ (see Theorem 4.3 or Theorem 4.5).

Some of the calculations in this paper were accomplished by using the software tool *Mathematica* ([25]).

2. Recursively generated weight sequence by two atoms

Let *a* and *b* be positive real numbers with $a, b \le 1$ and denote

$$
\varphi_0 = -ab \text{ and } \varphi_1 = a + b. \tag{2.1}
$$

Define a generating function *f* as follows:

$$
f(t) = t^2 - \varphi_1 t - \varphi_0 \quad (t \in \mathbb{R}).
$$

Consider two real numbers $0 < \rho_0$, $\rho_1 < 1$ satisfying $\rho_0 + \rho_1 = 1$ and the Vandermonde equation

$$
\left(\begin{array}{cc} 1 & 1 \\ a & b \end{array}\right)\left(\begin{array}{c} \rho_0 \\ \rho_1 \end{array}\right) = \left(\begin{array}{c} 1 \\ \rho_0 a + \rho_1 b \end{array}\right).
$$

Put $\rho = \rho_0$. Then $\rho_1 = 1 - \rho$. Now we consider a (two atomic) probability measure

$$
\mu := \mu_{(a,b,\rho)} = \rho \delta_{\{a\}} + (1-\rho) \delta_{\{b\}} \text{ with } 0 < \rho < 1.
$$

Then the measure μ is the Berger measure and there exists a sequence $\{\gamma_n\}_{n=1}^{\infty}$ $\sum_{n=0}^{\infty}$ \subset \mathbb{R}_{+} such that

$$
\gamma_n = \int_{\mathbb{R}_+} t^n d\mu(t) = \rho a^n + (1 - \rho) b^n \quad (n \ge 0).
$$
 (2.2)

Define

$$
\alpha_n \equiv \alpha_n(a,b,\rho) = \sqrt{\frac{\gamma_{n+1}}{\gamma_n}} \ \ (n \ge 0).
$$

This produces a sequence α (*a*, *b*, ρ) := $\{\alpha_n\}_{n=1}^{\infty}$ $\sum_{n=0}^{\infty}$ such that

$$
\alpha_n = \sqrt{\frac{\rho a^{n+1} + (1 - \rho) b^{n+1}}{\rho a^n + (1 - \rho) b^n}} \quad (n \ge 0).
$$
\n(2.3)

Using the notations (2.1) and (2.3), the sequence $\alpha(a, b, \rho)$ turns out to be recursively generated (or simply recursive) by the triplet (a, b, ρ) satisfying

$$
\alpha_n^2 = \varphi_1 + \frac{\varphi_0}{\alpha_{n-1}^2}, \quad n \ge 1.
$$
\n(2.4)

We note that if two atoms are equal, i.e. $a = b$, then the sequence $\alpha(a, b, \rho)$ forces the flatness regardless of the density value ρ . To avoid this trivial case, we consider two different atoms in this paper.

Proposition 2.1. *For a weight sequence* α(*a*, *b*, ρ)*, the following assertions hold.* (i) *The sequence* α(*a*, *b*, ρ) *is monotone increasing;* (ii)

$$
\lim_{n \to \infty} \alpha_n^2 = \begin{cases} a, & \text{if } a > b, \\ b, & \text{if } a < b. \end{cases}
$$

Proof. (i) Using (2.3), it follows from simple computations that

$$
\alpha_{n+1}^2-\alpha_n^2=\frac{\rho(1-\rho)a^{n-1}(a-b)^2b^{n-1}}{(\rho a^n+(1-\rho)b^n)(\rho a^{n-1}+(1-\rho)b^{n-1})} \ (n\geq 0).
$$

Since $0 < \rho < 1$, $\alpha_{n+1}^2 > \alpha_n^2$ ($n \ge 0$), which implies the result. (ii) Suppose that $0 < b < a \leq 1$. Then $\frac{b}{a} < 1$. So

$$
\lim_{n \to \infty} \alpha_n^2 = \lim_{n \to \infty} \frac{a\rho + (1 - \rho) \left(\frac{b}{a}\right)^{n+1}}{\rho + (1 - \rho) \left(\frac{b}{a}\right)^n} = a.
$$

For the other case $0 < a < b \le 1$, the proof is similar and easy. □

It turns out from Proposition 2.1 that $\alpha(a, b, \rho)$ becomes a bounded increasing sequence of positive numbers.

Lemma 2.2. *For a weight sequence* α(*a*, *b*, ρ)*, put*

$$
K:=-\frac{\varphi_1^2}{2\varphi_0}\left(\varphi_1+\sqrt{\varphi_1^2+4\varphi_0}\right) \text{ and } L^2:=\frac{1}{2}\left(\varphi_1+\sqrt{\varphi_1^2+4\varphi_0}\right).
$$

Suppose a < *b. Then*

$$
K = \frac{(a+b)^2}{a} \quad \text{and} \quad L^2 = b.
$$

Proof. It is straightforward from simple computations. □

For a given triplet (a, b, ρ) , let the sequence $\gamma = {\gamma_n}_{n=0}^{\infty}$ $\sum_{n=0}^{\infty}$ be given as in (2.2). Consider a Hankel matrix *M*(*i*) for all $i \geq 0$,

$$
M(i) = \left[\gamma_{i+j}\right]_{j=0,1,2}.
$$

It follows from (2.4) that the sequence $\gamma = {\gamma_n}$ satisfies the followings:

$$
\gamma_0 = 1
$$
, $\gamma_1 = \rho a + (1 - \rho)b$, $\gamma_n = \varphi_0 \gamma_{n-2} + \varphi_1 \gamma_{n-1}$ $(n \ge 2)$.

Then the rank of the matrix *M*(*i*), rank*M*(*i*) = 2 for all (*i* \geq 0). Denote $W_{\alpha(a,b,\rho)}$ for the corresponding weighted shift with a recursive sequence $\alpha(a, b, \rho)$. It is obvious that $W_{\alpha(a,b,\rho)}$ is a subnormal recursively generated weighted shift (cf. [8, p. 220]).

For the reader's convenience, we recall Stampfli's subnormal completion (cf. [9],[24]). For given real For the reader's convenience, we recall Stamptii's subnorious numbers $\sqrt{u} (\equiv \alpha_0)$, $\sqrt{v} (\equiv \alpha_1)$, $\sqrt{w} (\equiv \alpha_2)$ with $u < v < w$, define

$$
\alpha_n^2 = \Psi_1 + \frac{\Psi_0}{\alpha_{n-1}^2}, \qquad n \ge 2,
$$

where $\Psi_0 = -\frac{uv(w-v)}{v-u}$ $\frac{v(w-v)}{v-u}$ and $\Psi_1 = \frac{v(w-u)}{v-u}$ where $\Psi_0 = -\frac{u v_0 (w-v)}{v-u}$ and $\Psi_1 = \frac{v_0 (w-u)}{v-u}$. Then we obtain a recursively generated weight sequence and denote it by (\sqrt{u} , \sqrt{v} , \sqrt{w})^ and the associated weighted shift $W_{(\sqrt{u}, \sqrt{v}, \sqrt{w})}$ is su √ *v*, √ \overline{w})^ and the associated weighted shift $W_{(\sqrt{u}, \sqrt{v}, \sqrt{w})^{\wedge}}$ is subnormal ([24]). For a weighted shift *W*_{α(*x*)} with $\alpha(x)$: \sqrt{x} , $(\sqrt{u}$, \sqrt{v} , $\sqrt{w})^{\wedge}$ (*x* < *u* < *v* < *w*), it is well known [9] that

*W*_{α(*x*)} is 2-hyponormal ⇔ 0 < *x* ≤ $\sqrt{\frac{uv(w-v)}{x^2-2ww+2}}$ $\frac{u v (w - v)}{u^2 - 2uv + vw}$ ($\equiv H_2$).

Given a triplet (a, b, ρ) with $0 < a, b, \rho < 1$, consider three positive real numbers $u < v < w$ of Stampfli's Given a triplet (a, b, ρ) with $0 < a, b, \rho <$
weight sequence ($\sqrt{u}, \sqrt{v}, \sqrt{w}$)^ as follows:

$$
u = \rho a + (1 - \rho) b, \ v = \frac{\rho a^2 + (1 - \rho) b^2}{\rho a + (1 - \rho) b}, \ w = \frac{\rho a^3 + (1 - \rho) b^3}{\rho a^2 + (1 - \rho) b^2}.
$$
 (2.5)

From (2.4), we note that the sequence (\sqrt{u} , *v*, \overline{w})^ is exactly the same as the weight sequence α (*a*, *b*, ρ) induced by a triplet (a, b, ρ) .

Let a weight sequence $\alpha(x; a, b, \rho)$ be a backward extension of $\alpha(a, b, \rho)$ as follows:

$$
\alpha(x;a,b,\rho): \sqrt{x}, \sqrt{\frac{\rho a^n + (1-\rho)b^n}{\rho a^{n-1} + (1-\rho)b^{n-1}}} \quad (n \ge 1).
$$
\n(2.6)

For the recursive weight sequence α (*a*, *b*, ρ), using formulas in (2.5), we have

$$
H_2(a, b, \rho) = \sqrt{\frac{ab}{a(1 - \rho) + b\rho}}.
$$
\n(2.7)

Combining (2.7) for the weight sequence $\alpha(a, b, \rho)$ and the results of [11, Theorem 1.3] and [22, Theorem 4.3], we can obtain the following proposition.

Proposition 2.3. For a recursive weight sequence $\alpha(x; a, b, \rho)$ as in (2.6), let $W_{\alpha(x;a,b,\rho)}$ be the associated weighted *shift. Then the following assertions are equivalent:*

(i) $W_{\alpha(x;a,b,\rho)}$ *is* 2-hyponormal; (ii) *W*^α(*x*;*a*,*b*,ρ) *is subnormal;*

(iii) *W*^α(*x*;*a*,*b*,ρ) *is polynomially hyponormal;*

(iv) $W_{\alpha(x;a,b,\rho)}$ is weakly k-hyponormal, for any positive integer $k \geq 2$;

- (v) $W_{\alpha(x,a,b,\rho)}$ is semi-weakly k-hyponormal, for any positive integer $k \geq 2$;
- (vi) *W*^α(*x*;*a*,*b*,ρ) *is completely semi-weakly hyponormal;*

(vii) $0 < x \le \frac{ab}{a(1-\rho)+b\rho}$.

Example 2.4. For the case of $a = \frac{1}{2}$, $b = \frac{2}{3}$ and $\rho = \frac{1}{3}$ in $\alpha(a, b, \rho)$, we consider a backward extension weight sequence $\alpha(x; \frac{1}{2}, \frac{2}{3}, \frac{1}{3})$ as follows:

$$
\alpha\left(x;\frac{1}{2},\frac{2}{3},\frac{1}{3}\right): \sqrt{x}, \sqrt{\frac{11}{18}}, \sqrt{\frac{41}{66}}, \sqrt{\frac{155}{246}}, \sqrt{\frac{593}{930}}, \cdots.
$$

Let $W_{\alpha(x;\frac{1}{2},\frac{2}{3},\frac{1}{3})}$ be the corresponding weighted shift. From Proposition 2.3, we can see that

$$
W_{\alpha(x;\frac{1}{2},\frac{2}{3},\frac{1}{3})} \text{ is 2-hyponormal} \Longleftrightarrow W_{\alpha(x;\frac{1}{2},\frac{2}{3},\frac{1}{3})} \text{ is subnormal} \Longleftrightarrow 0 < x \leq \frac{3}{5}.
$$

3. Quadratic hyponormality of a weighted shift $W_{\alpha(x;a,b,\rho)}$

Recall that *T* is *semi-weakly k-hyponormal* if $T + sT^k$ is hyponormal for $k \geq 2$, i.e.

 $[(T + sT^k)^*, T + sT^k] \ge 0,$

for all $s \in \mathbb{C}$ ([17]). It is obvious that the semi-weak 2-hyponormality is equivalent to the quadratic hyponormality. Throughout this paper we may consider *k* ≥ 2. In this section, we first formulate a rather simple criterion for the quadratic hyponormality of a recursively generated weighted shifts $W_{\alpha(x;a,b,o)}$ with the weight sequence $\alpha(x; a, b, \rho)$ as in (2.6).

For a weighted shift $W_{\alpha(x)}$ with $\alpha(x)$: \sqrt{x} , (*u*, *v*, √ \overline{w} ^{\wedge} (*x* < *u* < *v* < *w*), it is well-known the following formula:

$$
h_2^+ = \min\left\{u, \frac{u^2v^2w + uv^2(w - u)K + uv(w - v)K^2}{u^3v + uv(w - u)K + (u^2 + vw - 2uv)K^2}\right\},
$$
\n(3.1)

where $h_2^+ = \left(\sup\{x > 0 : W_{\alpha(x)}\right.$ is positive quadratically hyponormal} $\right)^{1/2}$ ([9, Theorem 4.3]).

We now consider the weight sequence $\alpha(a, b, \rho)$ induced by a triplet (a, b, ρ) with $a < b \le 1$ and $0 < \rho < 1$, it holds from Lemma 2.2 that $K = \frac{1}{a}(a+b)^2$. Hence we can obtain the formula h_2^+ in (3.1) for $\alpha(a,b,\rho)$ as follows:

$$
h_2^+ = \min \left\{ \rho a + (1 - \rho) b, \frac{a \sum_{l=0}^7 \varphi_l(\rho) \left(\frac{b}{a}\right)^l}{\sum_{l=0}^7 \psi_l(\rho) \left(\frac{b}{a}\right)^l} \right\},\tag{3.2}
$$

where

$$
\begin{cases} \varphi_0(\rho) = \rho^2, \\ \varphi_1(\rho) = \rho (2\rho + 1)(1 - \rho), \\ \varphi_2(\rho) = \rho (1 - \rho)(3 - 2\rho), \\ \varphi_3(\rho) = b^3 \rho (1 - 3\rho)(1 - \rho), \\ \varphi_4(\rho) = -\rho (1 - \rho)(5 - 2\rho), \\ \varphi_5(\rho) = -2\rho (1 - \rho)^2, \\ \varphi_6(\rho) = (1 - \rho)(\rho + 1), \\ \varphi_7(\rho) = \rho (1 - \rho)(2 - \rho), \end{cases} \quad \text{and} \quad \begin{cases} \psi_0(\rho) = \rho (1 - \rho + \rho^3), \\ \psi_1(\rho) = \rho (1 - \rho)(3\rho^2 + 3 - \rho), \\ \psi_2(\rho) = \rho^2 (1 - \rho)(3\rho^2 + 3 - \rho), \\ \psi_3(\rho) = -\rho (1 - \rho)(5 - 4\rho + 2\rho^2), \\ \psi_4(\rho) = -3\rho^2 (1 - \rho)(2 - \rho), \\ \psi_5(\rho) = (\rho + \rho^2 + 1)(1 - \rho)^2, \\ \psi_6(\rho) = 2\rho (1 - \rho), \\ \psi_7(\rho) = \rho^2 (1 - \rho). \end{cases}
$$

We also recall that for a weighted shift $W_{\alpha(x)}$ with $\alpha(x)$: \sqrt{x} , (*u*, *v*, *w*) ∧ , Jung-Park proved the following([19, Theorem 4.6]):

the quadratic hyponormality and positive quadratic hyponormality of W^α(*x*) *are equivalent to each other.*

Then we can obtain the following result for the recursively generated weighted shifts $W_{\alpha(x,a,b,\rho)}$.

Proposition 3.1. Let a weight sequence $\alpha(x; a, b, \rho)$ be given as in (2.6) and let $W_{\alpha(x;a,b,\rho)}$ be the corresponding *weighted shift. Then the followings are equivalent:* (i) *W*^α(*x*;*a*,*b*,ρ) *is quadratically hyponormal;* (ii) $0 < x \leq h_2^+$.

Example 3.2. *(Continued Example 2.4) Let* $W_{\alpha(x;\frac{1}{2},\frac{2}{3},\frac{1}{3})}$ *be a weighted shift with a sequence* $\alpha(x;\frac{1}{2},\frac{2}{3},\frac{1}{3})$ *. Applying to* (3.2), we get $h_2^+ = \min\left\{\frac{11}{18}, \frac{295465}{468742}\right\}$, so $h_2^+ = \frac{11}{18} \approx 0.61111$. Hence from Proposition 3.1, $W_{\alpha(x;\frac{1}{2},\frac{2}{3},\frac{1}{3})}$ is quadratically *hyponormal if and only if* $0 < x \leq \frac{11}{18}$ *.*

For a triplet (a, b, ρ) with $0 < a < b \le 1$ and $0 < \rho < 1$, without loss of generality, we may assume that $b = 1$. In fact we can take $a = \frac{a'}{b'}$ $\frac{a'}{b'}$ and $b = \frac{\overline{b'}}{b'}$ $\frac{b'}{b'}$ for the triplet (*a'*, *b'*, ρ) with *a'* < $b' \le 1$. Hence we consider (*a*, 1, ρ) instead of (a, b, ρ) for our convenience. Now we define a backward extension weight sequence $\alpha(x; a, 1, \rho)$ of α (*a*, 1, ρ) with $0 < a$, $\rho < 1$ as follows:

$$
\alpha(x; a, 1, \rho) : \sqrt{x}, \sqrt{\frac{\rho a^n + 1 - \rho}{\rho a^{n-1} + 1 - \rho}} \quad (n \ge 1).
$$
\n(3.3)

Proposition 3.3. *Let* $W_{\alpha(x;a,1,\rho)}$ *be the weighted shift with a weight* $\alpha(x;a,1,\rho)$ *in* (3.3). *Then the following assertions are equivalent:*

(i)
$$
W_{\alpha(x;a,1,\rho)}
$$
 is quadratically hyponormal;
\n(ii) $0 < x \le h_2^+ = \min \left\{ 1 - \omega, \frac{a(\eta_3 \omega^3 + \eta_2 \omega^2 - \eta_1 \omega + a)}{\zeta_4 \omega^4 - \zeta_3 \omega^3 - \zeta_2 \omega^2 - \zeta_1 \omega + a^2} \right\}$, where $\omega = (1 - a)\rho$,
\n
$$
\left\{ \begin{array}{l} \eta_3 = (a+1)\left(2a^2 + 2a + 1\right), \\ \eta_2 = a^5 + 3a^4 - 7a^2 - 7a - 3, \\ \eta_1 = 4a^3 + 7a^2 + 3a + 1, \end{array} \right. \quad \text{and} \quad \left\{ \begin{array}{l} \zeta_4 = a^2(a+1), \\ \zeta_3 = 4a^3 + 7a^2 + 3a + 1, \\ \zeta_2 = a^5 + 6a^4 + 8a^3 + a^2 - 1, \\ \zeta_1 = a\left(a^5 + 4a^4 + 4a^3 - a^2 - a - 2\right). \end{array} \right.
$$

Proof. To prove this result, we apply the weight sequence $\alpha(x; a, 1, \rho)$ to Proposition 3.1. By substituting $b = 1$ into the formula in (3.2), we can obtain the same formula h_2^+ in the result. □

In particular, if we consider the case $\rho = \frac{1}{2}$ in the weight sequence $\alpha(a, 1, \rho)$, then from Proposition 3.3 the following result holds.

Corollary 3.4. *Let* $W_{\alpha(x;a,1,1/2)}$ *be the weighted shift with* $\alpha(x;a,1,1/2)$ *as follows:*

$$
\alpha\left(x;a,1,\frac{1}{2}\right):\sqrt{x},\sqrt{\frac{a^n+1}{a^{n-1}+1}}\quad(n\geq 1).
$$

Then the following assertions are equivalent: (i) *W*^α(*x*;*a*,1,1/2) *is quadratically hyponormal;* (ii) $0 < x \leq h_2^+$ *, where*

$$
h_2^+=\left\{\begin{array}{cc}\frac{2a(2a^5+2a^3-5a^2+3)}{(a+1)(5a^4-2a^3-5a^2+2a+2)}& \text{if $a\leq c_0$,}\\ \frac{a+1}{2} & \text{if $c_0
$$

for some $c_0 \in (0, 1)$ *.*

Proof. For the sequence $\alpha(x; a, 1, \frac{1}{2})$ with $0 < a, \rho < 1$, it follows from (3.2) that $1 - \omega = \frac{a+1}{2}$ and

.

$$
h_2^+ = \min\left\{\frac{a+1}{2}, \frac{2a(2a^5+2a^3-5a^2+3)}{(a+1)(5a^4-2a^3-5a^2+2a+2)}\right\}
$$

Since

$$
\frac{2a\left(2a^5+2a^3-5a^2+3\right)}{\left(a+1\right)\left(5a^4-2a^3-5a^2+2a+2\right)}-\frac{a+1}{2}=\frac{(a-1)^2\left(3a^4-2a^3+5a^2+2a-2\right)}{2(a+1)\left(5a^4-2a^3-5a^2+2a+2\right)},
$$

we consider a function $f(a)$ on $(0, 1)$ as

$$
f(a) = \frac{3a^4 - 2a^3 + 5a^2 + 2a - 2}{5a^4 - 2a^3 - 5a^2 + 2a + 2}.
$$

From simple computations,

$$
f'(a) = \frac{4(a-1)(a^5 - 19a^4 - 17a^3 - a^2 - 2a - 2)}{(5a^4 - 2a^3 - 5a^2 + 2a + 2)^2}.
$$

For $0 < a < 1$, it is clear that $a^5 - 19a^4 - 17a^3 - a^2 - 2a - 2 < 0$, which implies $f'(a) > 0$, i.e. $f(a)$ is increasing function on (0, 1). Since $f(0) < 0$, the equation $f(a) = 0$ has a unique positive solution $c_0 \approx 0.4725249$ on $(0, 1)$. Hence we have completed the proof. \square **Example 3.5.** For the case $a = 1/3$ and $\rho = 1/2$ *in* $\alpha(a, 1, \rho)$ *, we consider a weighted shift* $W_{\alpha(x, 1/3, 1, 1/2)}$ *with the weight sequence* α (*x*; 1/3, 1, 1/2) *as follows:*

$$
\alpha\left(x;\frac{1}{3},1,\frac{1}{2}\right): \sqrt{x}, \sqrt{\frac{2}{3}}, \sqrt{\frac{5}{6}}, \sqrt{\frac{14}{15}}, \sqrt{\frac{41}{42}}, \cdots.
$$

Since $a = 1/3 < c_0$, from Corollary 3.4, it holds $h_2^+ = \frac{307}{510} \approx 0.60196$. Hence it holds that $W_{\alpha(x;1/3,1,1/2)}$ is quadratically *hyponormal* \Leftrightarrow 0 < *x* ≤ $\frac{307}{510}$ *.*

Now to show more simple formula for h_2^+ , we consider a backward extension weight sequence $\alpha(x; a, 1, a)$, i.e. $\rho = a$. From (3.3), the sequence $\alpha(x; a, 1, a)$ with $0 < a < 1$ is defined by

$$
\alpha(x; a, 1, a): \sqrt{x}, \sqrt{\frac{a^{n+1} - a + 1}{a^n - a + 1}} \quad (n \ge 1). \tag{3.4}
$$

Then we can have the following result.

Corollary 3.6. *Let* $\alpha(x; a, 1, a)$ *be a weight sequence as in* (3.4) *and let* $W_{\alpha(x; a, 1, a)}$ *be the corresponding weighted shift. Then the following assertions are equivalent:* (i) *W*^α(*x*;*a*,1,*a*) *is quadratically hyponormal;*

(ii) $0 < x \leq h_2^+$, where

$$
h_2^+ = \begin{cases} \frac{a^8 - 3a^7 + a^6 + 3a^5 - 6a^4 + a^3 + 2a^2 + 3a - 3}{-a^9 + 3a^8 - 5a^7 + 6a^6 + 3a^5 - 19a^4 + 12a^3 + 4a - 4}, & \text{if } a \le c_1, \\ a^2 - a + 1, & \text{if } c_1 < a, \end{cases}
$$

for some $c_1 \in (0, 1)$ *.*

Proof. Using the similar computations in the proof of Corollary 3.4 for $\alpha(x; a, 1, a)$, we can obtain

$$
h_2^+ = \min \left\{ a^2 - a + 1, \frac{a^8 - 3a^7 + a^6 + 3a^5 - 6a^4 + a^3 + 2a^2 + 3a - 3}{-a^9 + 3a^8 - 5a^7 + 6a^6 + 3a^5 - 19a^4 + 12a^3 + 4a - 4} \right\}.
$$

Also we have

$$
\frac{a^8 - 3a^7 + a^6 + 3a^5 - 6a^4 + a^3 + 2a^2 + 3a - 3}{-a^9 + 3a^8 - 5a^7 + 6a^6 + 3a^5 - 19a^4 + 12a^3 + 4a - 4} - (a^2 - a + 1)
$$

$$
= \frac{(a - 1)^3 (-a^8 + a^7 - 3a^6 + 5a^4 - 5a^3 + a^2 - 2a + 1)}{a^9 - 3a^8 + 5a^7 - 6a^6 - 3a^5 + 19a^4 - 12a^3 - 4a + 4} \equiv (a - 1)^3 g(a).
$$

For $0 < a < 1$, it is obvious from some computations that $g'(a)$ is always negative, which implies that the function $q(a)$ is decreasing on (0, 1). Since $q(0) > 0$ and $q(1) < 0$, the equation $q(a) = 0$ has a unique positive solution $c_1 \approx 0.461\,028$ on $(0, 1)$. Hence we have completed the proof.

Example 3.7. *For the case a* = 1/2 *in* $\alpha(a, 1, a)$ *, we consider a weighted shift* $W_{\alpha(x, 1/2, 1, 1/2)}$ *with the sequence* α (*x*; 1/2, 1, 1/2) *as follows:*

$$
\alpha\left(x;\frac{1}{2},1,\frac{1}{2}\right): \sqrt{x}, \sqrt{\frac{3}{4}}, \sqrt{\frac{5}{6}}, \sqrt{\frac{9}{10}}, \sqrt{\frac{17}{18}}, \cdots.
$$

Since $c_1 < a$, by Corollary 3.6 we have $h_2^+ = a^2 - a + 1 = \frac{3}{4}$. Hence $W_{\alpha(x,1/2,1,1/2)}$ is quadratically hyponormal \Leftrightarrow $0 < x \leq \frac{3}{4}.$

4. Main results

In this section we discuss with Problem 1.2. To obtain an affirmative answer about Problem 1.2, it is worthwhile to consider a weighted shift W_β with a weight sequence β : 1, 1, β_2 , β_3 , \cdots satisfying $1 < \beta_2 < \beta_3 < \cdots$. For this purpose, we deal with a recursive weight sequence $\alpha(a, 1, \rho)$ with two atoms ${a, 1}$ and density ρ ($0 < a, \rho < 1$) introduced in Section 2. Now for our convenience and without loss of generality, we may consider new two atoms as follows:

$$
\frac{a}{\rho a + 1 - \rho} \text{ and } \frac{1}{\rho a + 1 - \rho} \quad (0 < a, \rho < 1). \tag{4.1}
$$

Then the associated two coefficients ψ_0 and ψ_1 of the quadratic generating function for two atoms as in (4.1) become

$$
\psi_0 = -\frac{a}{(\rho a + 1 - \rho)^2} \text{ and } \psi_1 = \frac{a + 1}{\rho a + 1 - \rho}.
$$
\n(4.2)

Hence according to the usual methods in Section 2, we can have a recursively generated weight sequence β(*a*, ρ), *that is*

$$
\beta(a,\rho): 1, \sqrt{\frac{\rho a^2 + 1 - \rho}{(\rho a + 1 - \rho)^2}}, \sqrt{\frac{\rho a^{n+1} + 1 - \rho}{(\rho a + 1 - \rho)(\rho a^n + 1 - \rho)}} \quad (n \ge 2). \tag{4.3}
$$

We now consider the main weight sequence $\beta(1; a, \rho) = {\beta_n}_{n=1}^{\infty}$ $\sum_{n=0}^{\infty}$, a backward extension of $β(a, ρ)$ in (4.3) with first two equal weights as follows:

$$
\beta(1; a, \rho): 1, 1, \sqrt{\frac{\rho a^n + 1 - \rho}{(\rho a + 1 - \rho)(\rho a^{n-1} + 1 - \rho)}} \quad (n \ge 2)
$$
\n(4.4)

and denote $W_{\beta(1;\alpha,\rho)}$ for the weighted shift with $\beta(1;\alpha,\rho)$. Then we can obtain the fundamental lemma.

Lemma 4.1. *Let a weight sequence* β(1; *a*, ρ) *be given as in* (4.4)*. Set*

$$
Q_k := \frac{M^k - N^k}{\sqrt{\psi_1^2 + 4\psi_0}} \left(\frac{1 - \left(-\frac{M^2}{\psi_0}\right)^k}{1 + \frac{M^2}{\psi_0}} \right),
$$

where

$$
M:=\frac{\psi_1+\sqrt{\psi_1^2+4\psi_0}}{2}, N:=\frac{\psi_1-\sqrt{\psi_1^2+4\psi_0}}{2}.
$$

Then

$$
Q_k = \frac{\left(\sum_{i=1}^k a^{i-1}\right)^2}{(a(\rho a + 1 - \rho))^{k-1}}.\tag{4.5}
$$

Proof. It follows from (4.2) that

$$
\sqrt{\psi_1^2+4\psi_0}=\frac{1-a}{\rho a+1-\rho},\; M=\frac{1}{\rho a+1-\rho},\; N=\frac{a}{\rho a+1-\rho}.
$$

Since $0 < a < 1$, $\frac{a}{\rho a + 1 - \rho} < \frac{1}{\rho a + 1 - \rho}$. It follows from Lemma 2.2 that $L^2 = \frac{1}{\rho a + 1 - \rho} = M$, which implies $\frac{M^2}{\psi_0} = -\frac{1}{a}$. Also for all $k \geq 2$,

$$
\frac{M^k - N^k}{\sqrt{\psi_1^2 + 4\psi_0}} = \frac{\rho a + 1 - \rho}{1 - a} \left(\frac{1}{(\rho a + 1 - \rho)^k} - \frac{a^k}{(\rho a + 1 - \rho)^k} \right) = \frac{(\rho a + 1 - \rho)(1 - a^k)}{(1 - a)(\rho a + 1 - \rho)^k}.
$$
(4.6)

To obtain the formula of Q_k , using the third formula in (4.6), it holds that for all $k \geq 2$

$$
Q_k = \frac{(\rho a + 1 - \rho)(1 - a^k)}{(1 - a)(\rho a + 1 - \rho)^k} \cdot \frac{1 - \left(\frac{1}{a}\right)^k}{1 - \frac{1}{a}}
$$

=
$$
\frac{(\rho a + 1 - \rho)(1 - a^k)}{(1 - a)(\rho a + 1 - \rho)^k} \cdot \frac{a(1 - a^k)}{(1 - a)a^k}
$$

=
$$
\frac{(1 - a^k)^2}{a^{k-1}(1 - a)^2(\rho a + 1 - \rho)^{k-1}}
$$

=
$$
\frac{\left(1 + a + a^2 + \dots + a^{k-1}\right)^2}{(a(a\rho + 1 - \rho))^{k-1}}.
$$

Hence the proof is completed. □

For the recursive sequence $\beta(1; a, \rho)$ with first two equal weights as in (4.4), applying to the formula [23, Proposition 3.3] via some computations for formulas in (2.5), we can obtain the following result.

Proposition 4.2. *For* $0 < a$, $\rho < 1$, let $\beta(1; a, \rho)$ be given as in (4.4) and let $W_{\beta(1; a, \rho)}$ be the associated weighted shift. $Suppose k \geq 2$. Then $W_{\beta(1; a, \rho)}$ is semi-weakly k-hyponormal if and only if $\Theta_k(a, \rho) \geq 1$, where

.

$$
\Theta_k := \frac{\beta_k^2 \beta_{k+1}^2 \cdots \beta_{2k-1}^2 (\beta_1^2 \beta_2^2 \cdots \beta_k^2 + Q_k) - Q_k \beta_1^2 \beta_2^2 \cdots \beta_{k-1}^2 \beta_k^4}{\beta_1^2 \beta_2^2 \cdots \beta_{k-1}^2 (\beta_1^2 \beta_2^2 \cdots \beta_k^2 + Q_k - 2\beta_k^2 Q_k) + Q_k \beta_k^2 \beta_{k+1}^2 \cdots \beta_{2k-1}^2}
$$

From Lemma 4.1 and Proposition 4.2, we obtain the main theorem of the paper.

Theorem 4.3. *For* $0 < a, \rho < 1$, let $\beta(1; a, \rho)$ be given as in (4.4) and let $W_{\beta(1; a, \rho)}$ be the associated weighted shift. *Suppose k* \geq 2. Then $W_{\beta(1,a,\rho)}$ is semi-weakly k-hyponormal if and only if $h_k(a,\rho) \geq 0$, where

$$
h_k(a,\rho) = \left(a^k - 1\right)^2 \rho^2 + \left(2a^k - a^{k-1} - 1\right)\rho + a^{k-1}.\tag{4.7}
$$

Proof. We first note the formula of Θ_k in Proposition 4.2 for the weight sequence $β(1; a, ρ)$ as following:

$$
\Theta_k = \frac{\beta_{k+1}^2 \beta_{k+2}^2 \cdots \beta_{2k}^2 (\beta_2^2 \cdots \beta_{k+1}^2 + Q_k) - Q_k \beta_2^2 \cdots \beta_k^2 \beta_{k+1}^4}{\beta_2^2 \cdots \beta_k^2 (\beta_2^2 \cdots \beta_{k+1}^2 + Q_k - 2\beta_{k+1}^2 Q_k) + Q_k \beta_{k+1}^2 \beta_{k+2}^2 \cdots \beta_{2k}^2}.
$$
\n(4.8)

From the definition of the weight sequence $\beta(1; a, \rho)$,

$$
\beta_i^2 = \frac{\rho a^i + 1 - \rho}{(\rho a + 1 - \rho)(\rho a^{i-1} + 1 - \rho)} \quad (i \ge 1),
$$

which implies that for any $n, \ell \geq 1$

$$
\beta_n^2 \beta_{n+1}^2 \cdots \beta_{n+\ell-1}^2 = \frac{\rho a^{n+\ell-1} + 1 - \rho}{(\rho a + 1 - \rho)^\ell (\rho a^{n-1} + 1 - \rho)}.
$$
\n(4.9)

Applying the formulas Q_k in Lemma 4.1 and (4.9) to (4.8), we can obtain

$$
\Theta_k = \frac{\theta_k}{\xi_k},
$$

where

$$
\theta_{k} = \frac{\rho a^{2k} + 1 - \rho}{(\rho a + 1 - \rho)^{k} (\rho a^{k} + 1 - \rho)} \left(\frac{\rho a^{k+1} + 1 - \rho}{(\rho a + 1 - \rho)^{k+1}} + Q_{k} \right) - \frac{Q_{k}(\rho a^{k+1} + 1 - \rho)^{2}}{(\rho a + 1 - \rho)^{k+2} (\rho a^{k} + 1 - \rho)}
$$

and

$$
\xi_k = \frac{\rho a^k + 1 - \rho}{(\rho a + 1 - \rho)^k} \left(\frac{\rho a^{k+1} + 1 - \rho}{(\rho a + 1 - \rho)^{k+1}} + Q_k - \frac{2(\rho a^{k+1} + 1 - \rho)Q_k}{(\rho a + 1 - \rho)(\rho a^k + 1 - \rho)} \right) + \frac{Q_k(\rho a^{2k} + 1 - \rho)}{(\rho a + 1 - \rho)^k(\rho a^k + 1 - \rho)}.
$$

It follows from some computations that $\theta_k > 0$ and $\xi_k > 0$ for all $k \geq 2$. Hence $\Theta_k \geq 1 \Leftrightarrow \theta_k - \xi_k \geq 0$ ($k \geq 2$). Using Q_k in (4.5) and $h_k(a, \rho)$ in (4.7), we have

$$
\theta_k - \xi_k = \frac{\rho(1-\rho)(a^k-1)^2}{(\rho a^k+1-\rho)(\rho a+1-\rho)^{2k+1}} \left(\frac{-\rho(1-\rho)(a^k-1)^2}{a^{k-1}} + a^k \rho + 1 - \rho\right)
$$

$$
= \frac{\rho(1-\rho)(a^k-1)^2 a^{k-1}}{(\rho a^k+1-\rho)(\rho a+1-\rho)^{2k+1}} h_k(a,\rho).
$$

Since $0 < a, \rho < 1$, it holds that $\Theta_k \ge 1 \Leftrightarrow h_k(a, \rho) \ge 0$, which completes the proof.

Set for $k \geq 2$,

$$
\mathcal{RH}_k := \{ (a,\rho) : h_k(a,\rho) \ge 0, \ 0 < a,\rho < 1 \}.
$$

From Theorem 4.3, we can describe regions of \mathcal{RH}_k for each $k \geq 2$ in Figure 1, which provide distinctions and implications of semi-weak *k*-hyponormalities of weighted shift $W_{\beta(1; a, \rho)}$ with $0 < a, \rho < 1$.

Figure 1: Regions of semi-weak *k*-hyponormality of $W_{\beta(1,a,\rho)}$.

For more simplicity of characterization of semi-weak *k*-hyponormality of $W_{\beta(1; a, \rho)}$, we consider the projection of the set \mathcal{RH}_k onto the diagonal set $\{(a, \rho): 0 < a, \rho < 1, \rho = a\}$, that is, we take the recursive weight sequence $\beta(1; a, a)$ ($0 < a < 1$) as follows:

$$
\beta(1;a,a): 1, 1, \sqrt{\frac{a^3 - a + 1}{(a^2 - a + 1)^2}}, \sqrt{\frac{a^4 - a + 1}{(a^2 - a + 1)(a^3 - a + 1)}}, \cdots
$$
\n(4.10)

Using Theorem 4.3, we can obtain the following assertion.

Proposition 4.4. *For* $0 < a < 1$ *, let* $W_{\beta(1; a, a)}$ *be the weighted shift with* $\beta(1; a, a)$ *as in* (4.10)*. Suppose* $k \ge 2$ *. Then* $W_{\beta(1;a,a)}$ *is semi-weakly k-hyponormal if and only if* $s_k(a) \geq 0$ *, where*

$$
s_k(a) = \begin{cases} a^{2k+1} - 2a^{k+1} + 2a^k - a^{k-1} + a^{k-2} + a - 1 & \text{for } k \ge 3, \\ a^2(a^3 - 2a + 2) & \text{for } k = 2. \end{cases}
$$
(4.11)

*In particular, W*β(1;*a*,*a*) *is quadratically hyponormal if and only if* 0 < *a* < 1*.*

Proof. Substituting $\rho = a$ to $h_k(a, \rho)$ in (4.7), we have $h_k(a, a) = as_k(a)$. From Theorem 4.3, we obtain the first result.

Next to show the range of the quadratic hyponormality for $W_{\beta(1;a,a)}$, we consider the function $s_2(a)$ as in (4.11). For our convenience, put

$$
t(a) = a^3 - 2a + 2.
$$

It follows from simple computations that $t(a)$ has the positive local minimum at $a = \sqrt{\frac{2}{3}}$, which implies $s_2(a) > 0$ for all $0 < a < 1$. Hence we have completed the proof. □

Let a weight sequence $\beta(1; a, a)$ be as in (4.10) and let $W_{\beta(1; a, a)}$ be the corresponding weighted shift. We now consider the following set

 s *W***H**_k := {*a* ∈ (0, 1) : *W*_{β(1;*a*,*a*)} is semi-weakly *k*-hyponormal} for $k \ge 2$.

From Proposition 4.4 it is obvious that $sWH_2 = (0, 1)$.

Theorem 4.5. *Suppose that* $k \geq 3$ *and* $0 < a < 1$ *. Let* $W_{\beta(1;a,a)}$ *be the weighted shift with* $\beta(1;a,a)$ *as in* (4.10)*. Denote r^k for a positive zero of the function sk*(*a*) *as in* (4.11)*. Then the following assertions hold:* (i) ${r_k}_{k=0}^∞$ *k*=3 *is a strictly increasing sequence in* (0, 1)*;* (ii) $s\hat{W}H_k = [r_k, 1)$ *for* $3 \le k < \infty$; (iii) $sWH_k \setminus sWH_{k+1} = [r_k, r_{k+1})$ and $(0, 1) \supsetneq sWH_3 \supsetneq \cdots \supsetneq sWH_k \supsetneq sWH_{k+1} \supsetneq \cdots$.

Proof. Consider the function $s_n(a)$ for $n \geq 3$ in Proposition 4.4,

 $s_n(a) = a^{2n+1} - 2a^{n+1} + 2a^n - a^{n-1} + a^{n-2} + a - 1.$

Then for all *n* ≥ 3, the continuous function *sn*(*a*) passes through two fixed points (0,−1) and (1, 1), which induces that there exists a zero $r_n \in (0, 1)$ of the equation $s_n(a) = 0$. Also from simple computations, we have

$$
s'_n(a) = (2n+1)a^{2n} - 2(n+1)a^n + 2na^{n-1} - (n-1)a^{n-2} + (n-2)a^{n-3} + 1,
$$

\n
$$
s''_n(a) = a^{n-4} (2(2n+1)na^{n+3} - 2(n+1)na^3 + 2(n-1)na^2 - (n-1)(n-2)a + (n-2)(n-3)).
$$

For $n \ge 3$, it holds that $s''_n(a) > 0$ for $0 < a < 1$, which induces that the function $s'_n(a)$ is increasing on $(0, 1)$. By $s'_n(0) > 0$, $s'_n(a) > 0$ for $0 < a < 1$. So the function $s_n(a)$ is strictly increasing on $(0, 1)$, which guarantees that there exists a unique positive root $r_n \in (0, 1)$ satisfying $s_n(r_n) = 0$ for each $n \geq 3$. For the positive zero r_n $(3 \le n < \infty)$, from some computations we can obtain that

$$
s_{n+1}(r_n) = r_n s_n(r_n) + (r_n - 1) \left(r_n^{2(n+1)} - r_n + 1 \right), \ n \ge 3. \tag{4.12}
$$

Since the function $s_n(a)$ is increasing on (0, 1), the value of $s_{n+1}(r_n)$ in (4.12) turns out to be negative, *i.e.* $s_{n+1}(r_n) < 0$, which implies $r_n \leq r_{n+1}$ ($n \geq 3$). Then by mathematical induction, the following inequalities hold:

$$
0 < r_3 \le r_4 \le \dots \le r_n \le r_{n+1} \le \dots < 1. \tag{4.13}
$$

Hence the sequence ${r_n}_{n=0}^{\infty}$ $\sum_{n=3}^{\infty}$ is a strictly increasing sequence in the interval (0, 1). Also by conditions of the $s_n(a)$ and uniqueness of the positive root r_n for all $n \geq 3$ and $0 < a < 1$, we can obtain that $r_n = \inf s \mathcal{W} \mathcal{H}_n$ and the set sWH _n is a connected interval, *that is*,

$$
s^r W {\mathcal H}_n = [r_n, 1) \text{ for } 3 \leq n < \infty.
$$

Therefore by (4.13) , we have completed the proofs. $□$

Finally, we close this note providing mutually disjoint approximate values for*r^k* for useful finite numbers of $k = 3, 4, 5$.

Corollary 4.6. *Let W*^β(1;*a*,*a*) *be the weighted shift. Then the following assertions hold:*

(i) $W_{\beta(1;a,a)}$ is quadratically but not semi-cubically hyponormal $\Leftrightarrow 0 < a < r_3 (\approx 0.574)$;

- (ii) $W_{\beta(1; a, a)}$ is semi-cubically but not semi-quartically hyponormal $\Leftrightarrow r_3 \le a < r_4 (\approx 0.682)$;
- (iii) $W_{\beta(1;a,a)}$ is semi-quartically but not semi-quintically hyponormal $\Leftrightarrow r_4 \le a < r_5 (\approx 0.741)$.

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