



## Fractional Navier-Stokes equations regularity criteria in terms of deformation tensor

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**Abstract.** We consider the singularity formation of strong solutions to the three-dimensional incompressible fractional Navier-Stokes equations in the whole space. By making use of the Bony decomposition technique, we prove that a unique local strong solution does not blow-up at time  $T$  if deformation tensor belongs to nonhomogeneous Besov spaces. As a bi-product, the result improves some well-known results on regularity for the particular case of classical Navier-Stokes equations.

### 1. Introduction

In this paper, we consider the Cauchy problem for the 3D fractional Navier-Stokes equations (FNSE for short)

$$\begin{cases} \partial_t u + (u \cdot \nabla)u + (-\Delta)^\alpha u + \nabla p = 0, & x \in \mathbb{R}^3, t > 0 \\ \nabla \cdot u = 0, & x \in \mathbb{R}^3, t > 0 \\ u|_{t=0} = u_0(x), & x \in \mathbb{R}^3. \end{cases} \quad (1)$$

Here  $u = u(x, t) : \mathbb{R}^3 \times [0, \infty) \rightarrow \mathbb{R}^3$  is the velocity and the scalar function  $p = p(x, t) : \mathbb{R}^3 \times [0, \infty) \rightarrow \mathbb{R}$  is the total kinetic pressure. The constant  $\alpha$  is a positive parameter to measure the dissipations. Moreover, the operator  $(-\Delta)^\alpha$  is defined as follows:

$$\widehat{(-\Delta)^\alpha f(\xi)} = |\xi|^{2\alpha} \hat{f}(\xi),$$

where  $\hat{f}$  denotes the fourier transform of the function  $f$ . More details on  $(-\Delta)^\alpha$  can be found in [21], as a notation, we take  $\Lambda$  as  $(-\Delta)^{\frac{1}{2}}$ .

It is well-known that the local and global-in time existence of strong solutions to the FNSE (1) were established by Lions [16] for  $\alpha \geq \frac{5}{4}$  (also see [29]). In [29], Wu also showed that when  $\alpha > 0$ , equations (1) with  $u_0 \in L^2$  possess a global weak solution and local in time strong solution for given initial value  $u_0 \in H^1$ . Unfortunately, while such weak solutions are well suited to study in the sense that global-in-time existence is guaranteed for all finite energy initial data, they are not known to be either smooth or unique,

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leaving major problems for the well-posedness theory. On one hand, Katz-Pavlović [13] first showed that if  $1 < \alpha < \frac{5}{4}$ , the Hausdorff dimension of the singular set at the time of first possible blow-up is at most  $(5 - 4\alpha)$ . Recently, Tang-Yu [23] study the partial regularity of fractional Navier-Stokes equations (1) with  $\frac{3}{4} < \alpha < 1$ , more precisely, they show that the suitable weak solution is regular away from a relatively closed singular set whose  $(5 - 4\alpha)$ -dimensional Hausdorff measure is zero. Further partial regularity results of equations (1), we refer readers to [5, 20]. On the other hand, Zhou in [30] proved the following regularity condition

$$u \in L^p(0, T; L^q(\mathbb{R}^3)); \frac{2\alpha}{p} + \frac{3}{q} \leq 2\alpha - 1, \frac{3}{2\alpha - 1} < q \leq \infty, 1 \leq \alpha \leq \frac{3}{2}$$

or

$$\Lambda^\alpha u \in L^p(0, T; L^q(\mathbb{R}^3)); \frac{2\alpha}{p} + \frac{3}{q} \leq 3\alpha - 1, \frac{3}{3\alpha - 1} < q \leq \frac{3}{\alpha - 1}, 1 \leq \alpha \leq \frac{5}{4}.$$

When  $\alpha = 1$ , equations (1) reduces to the classical incompressible NSE. In 1934, Leray showed that global existence of weak solutions to the 3D NSE, but are not known to be either smooth or unique. Ladyzhenskaya-Prodi-Serrin [24, 25] showed that if

$$u \in L^q(0, T; L^p(\mathbb{R}^3)) \quad \text{with} \quad \frac{2}{q} + \frac{3}{p} = 1 \quad \text{and} \quad 3 < p \leq \infty, \tag{2}$$

then the weak solution  $u$  is regular on  $(0, T]$ . Escauriaza-Seregin-Sverak in [8] extended Serrin type criteria to the endpoint case,  $p = 3, q = \infty$ . Recently, Tao [22] further extended this regularity criterion giving a quantitative lower bound on the rate of the blowup of the  $L^3$ -norm. This result is very slightly supercritical- in fact triple logarithmic- with respect to scaling, and is the first supercritical regularity criterion for the NSE.

Two crucially important objects for the study of the NSE are the strain, which is the symmetric gradient of the velocity,  $S = \nabla_{sym} u$ , with  $S_{ij} = \frac{1}{2}(\partial_i u_j + \partial_j u_i)$ , and the vorticity, which is a vector that represents the antisymmetric part of the velocity and is given by  $\omega = \nabla \times u$ . Physically, the strain describes how a parcel of the fluid is deformed, while the vorticity describes how a parcel of the fluid is rotated [18].

The vorticity has been studied fairly exhaustively for its role in the dynamics of the NSE. For example, the Beale-Kato-Majda (BKM) regularity criterion [2], which holds for smooth solutions of both the Euler and NSE, states that if  $T_{\max} < +\infty$ , then

$$\int_0^{T_{\max}} \|\omega(\cdot, t)\|_{L^\infty} dt = +\infty. \tag{3}$$

Beirão da Veiga [3] showed that any Leray-Hopf weak solution of NSE satisfies

$$\omega \in L^q(0, T; L^p(\mathbb{R}^3)) \quad \text{with} \quad \frac{2}{q} + \frac{3}{p} = 2 \quad \text{and} \quad \frac{3}{2} < p < \infty \tag{4}$$

is actually smooth. Skalák used the nonhomogeneous Besov spaces and proved in [26] that if

$$\omega \in L^q\left(0, T; B_{\infty, \infty}^{-\frac{3}{p}}\right), \tag{5}$$

where  $q \in [1, \infty)$  and  $\frac{2}{q} + \frac{3}{p} = 2$ , then  $u$  is regular on  $(0, T]$ . There are many refined regularity criteria in terms of partial components of vorticity appeared over years. The readers can be referred to, for example [4, 6, 9–11] and the related references therein.

The relationship between strain (deformation tensor) and the singularity formation of NSE has been investigated much less thoroughly, but strain can provide some insights that do not follow as clearly from the vorticity. Motivated by [18, 26], in this paper we will consider the singularity formation of FNSE (1) via strain (deformation tensor  $S_{ij} = \frac{1}{2}(\partial_i u_j + \partial_j u_i)$ ) in nonhomogeneous Besov spaces. The main result of this paper state as follow:

**Theorem 1.1.** Let  $0 < \alpha < \frac{5}{4}$ ,  $u_0 \in H^3(\mathbb{R}^3)$  with  $\nabla \cdot u_0 = 0$ , and assume that  $u$  be a unique local strong solution to FNSE (1). If  $S = (S_{ij}) = \frac{1}{2} \left( \frac{\partial u_j}{\partial x_i} + \frac{\partial u_i}{\partial x_j} \right)$  satisfies the following condition

$$S \in L^{\frac{2r\alpha}{2r\alpha-3}} \left( 0, T; B_{\infty, \infty}^{-\frac{3}{r}} \right), \quad \frac{3}{2\alpha} < r \leq \infty, \quad 0 < T < \infty. \tag{6}$$

Then the solution  $u$  can be extended beyond  $T > 0$ .

**Remark 1.2.** Our Theorem 1.1 greatly improves the result of [26] by using the deformation tensor  $S$  instead of the Vorticity  $\omega$  or the gradient of the velocity  $\nabla u$ .

**Remark 1.3.** We remark here that the following continuous embeddings:

$$L^p \hookrightarrow \dot{B}_{r, \infty}^0 \hookrightarrow \dot{B}_{\infty, \infty}^{-\frac{3}{r}}, \quad r \in [1, \infty)$$

in the case of the homogeneous Besov spaces and

$$L^p \hookrightarrow B_{r, \infty}^0 \hookrightarrow B_{\infty, \infty}^{-\frac{3}{r}}, \quad r \in [1, \infty]$$

in the case of the nonhomogeneous Besov spaces. In particular, the homogeneous version of the Besov space is smaller than the nonhomogeneous one, i.e.,

$$\dot{B}_{\infty, \infty}^r \subset B_{\infty, \infty}^r, \quad \text{for all } r < 0.$$

In view of these facts, Theorem 1 is the largest extension and extends/improves the corresponding result obtained recently in [7, 14, 26] and some references therein.

**Remark 1.4.** By using the Littlewood-Paley decomposition technique, we can further extend our result to the general  $\dot{B}_{p, \infty}^0$  space or the largest Vishik space (See [27, 28]). We will not go into details here and leave it to interested readers.

**Remark 1.5.** When  $\alpha = 1$ , the FNSE reduces to the classical NSE, thus our results improves/extendeds some of the classical and newly found results on NSE/FNSE regularity. In particular, Theorem 1.1 indicates that the singularity formation via strain of fluid to the FNSE (1). In addition, NSE regularity criteria for single components of the deformation tensor  $S$  is still an open problem, and we hope consider it in the near future.

## 2. Preliminaries

In this section, we recall some definitions and give several lemmas, which will be used in the proof of theorem 1.1.

First we will introduce some notations. Let  $\mathcal{S}(\mathbb{R}^3)$  be the Schwartz class of rapidly decreasing functions. Given  $f \in \mathcal{S}(\mathbb{R}^3)$ , its Fourier transform  $\mathcal{F}f = \hat{f}$  is defined as

$$\hat{f}(\xi) = \int_{\mathbb{R}^3} f(x) e^{-ix \cdot \xi} dx.$$

Let  $(\chi, \varphi)$  be a couple of smooth functions valued in  $[0, 1]$  such that  $\chi$  is supported in  $B = \{\xi \in \mathbb{R}^3 : |\xi| \leq \frac{4}{3}\}$ ,  $\varphi$  is supported in  $C = \{\xi \in \mathbb{R}^3 : \frac{3}{4} \leq |\xi| \leq \frac{8}{3}\}$  such that

$$\chi(\xi) + \sum_{j \geq 0} \varphi(2^{-j}\xi) = 1, \quad \forall \xi \in \mathbb{R}^3,$$

$$\sum_{j \in \mathbb{Z}} \varphi(2^{-j}\xi) = 1, \quad \forall \xi \in \mathbb{R}^3 \setminus \{0\}.$$

Denoting  $h = \mathcal{F}^{-1}\varphi$  and  $\tilde{h} = \mathcal{F}^{-1}\chi$ , and then we define the homogeneous dyadic blocks  $\Delta_j$  and the homogeneous low-frequency cut-off operator  $\dot{S}_j$  as follows:

$$\Delta_j u = \varphi(2^{-j}D)u = 2^{3j} \int_{\mathbb{R}^3} h(2^j y)u(x - y)dy,$$

and

$$\dot{S}_j u = \chi(2^{-j}D) = 2^{3j} \int_{\mathbb{R}^3} \tilde{h}(2^j y)u(x - y)dy.$$

Informally,  $\Delta_j$  is a frequency projection to the annulus  $\{|\xi| \sim 2^j\}$ , while  $\dot{S}_j$  is a frequency projection to the ball  $\{|\xi| \lesssim 2^j\}$ . And one can easily verify that  $\Delta_j \Delta_k f = 0$  if  $|j - k| \geq 2$ . We also define  $\Delta_j f = \dot{\Delta}_j f$  if  $j \geq 0, \Delta_{-1} f = \dot{S}_0 f$  and  $\Delta_j f = 0$  if  $j < -1$ . Let now  $p, r \in [1, \infty]$  and  $s \in \mathbb{R}$ .

**Definition 2.1.** The nonhomogeneous Besov space  $B_{p,r}^s$  is defined as

$$B_{p,r}^s = \left\{ f \in S'; \|f\|_{B_{p,r}^s} < \infty \right\},$$

where

$$\begin{cases} \|f\|_{B_{p,r}^s} = \left( \sum_{j \geq -1} 2^{jsr} \|\Delta_j f\|_p^r \right)^{\frac{1}{r}}, & r < \infty, \\ \|f\|_{B_{p,r}^s} = \sup_{j \geq -1} 2^{js} \|\Delta_j f\|_p, & r = \infty. \end{cases}$$

**Definition 2.2 (Homogeneous Besov spaces [1]).** Let

$$S'_h = \left\{ f \in S'; \lim_{j \rightarrow -\infty} \|\dot{S}_j f\|_\infty = 0 \right\}.$$

The homogeneous Besov space  $\dot{B}_{p,r}^s$  is defined as

$$\dot{B}_{p,r}^s = \left\{ f \in S'_h; \|f\|_{\dot{B}_{p,r}^s} < \infty \right\}$$

where

$$\begin{cases} \|f\|_{\dot{B}_{p,r}^s} = \left( \sum_{j \in \mathbb{Z}} 2^{jsr} \|\Delta_j f\|_p^r \right)^{\frac{1}{r}}, & \text{if } r < \infty, \\ \|f\|_{\dot{B}_{p,r}^s} = \sup_{j \in \mathbb{Z}} 2^{js} \|\Delta_j f\|_p, & r = \infty. \end{cases}$$

The following Bony decomposition will be used in the next section. Let two tempered distributions  $f$  and  $g$ , the Bony decomposition of the product  $fg$  can be formally written as

$$fg = T_f g + T_g f + R(f, g)$$

where

$$T_f g = \sum_{j \geq 1} \dot{S}_{j-1} f \Delta_j g$$

and

$$R(f, g) = \sum_{j, k \geq -1, |j-k| \leq 1} \Delta_j f \Delta_k g.$$

The main continuity properties of the operators  $T$  and  $R$  are described in the following lemmas [1].

**Lemma 2.3.** Let  $p, r, r_1, r_2 \in [1, \infty], 1/r = 1/r_1 + 1/r_2, s \in \mathbb{R}, t < 0$  and  $s + t < 3/p$ . Then there exists a constant  $c > 0$  such that

$$\|T_u v\|_{B_{p,r}^{s+t}} \leq c \|u\|_{B_{\infty,r_1}^t} \|v\|_{B_{p,r_2}^s}$$

for every  $u \in B_{\infty,r_1}^{s+t}$  and  $v \in B_{p,r_2}^s$ .

**Lemma 2.4.** Let  $p, p_1, p_2, r, r_1, r_2 \in [1, \infty], \frac{1}{p} = \frac{1}{p_1} + \frac{1}{p_2}, \frac{1}{r} = \frac{1}{r_1} + \frac{1}{r_2}, s_1, s_2 \in \mathbb{R}$  and  $s_1 + s_2 > 0$ . Then there exists a constant  $C > 0$  such that

$$\|R(f, g)\|_{B_{p,r}^{s_1+s_2}} \leq C \|f\|_{B_{p_1,r_1}^{s_1}} \|g\|_{B_{p_2,r_2}^{s_2}}$$

for every  $f \in B_{p_1,r_1}^{s_1}$  and  $g \in B_{p_2,r_2}^{s_2}$ .

**Lemma 2.5 (logarithmic Sobolev inequality in Besov spaces[15]).** Let  $p, \varrho, \sigma \in [1, \infty], q \in [1, \infty)$  and  $s > \frac{3}{q}$ . Then there exists a constant  $c$  such that

$$\|f\|_{L^\infty} \leq c \left( 1 + \|f\|_{\dot{B}_{p,\varrho}^{\frac{3}{q}}} \left( \ln^+ \|f\|_{B_{q,\sigma}^s} \right)^{1-\frac{1}{\varrho}} \right) \quad \text{for all } f \in \dot{B}_{p,\varrho}^{\frac{3}{q}} \cap B_{q,\sigma}^s.$$

**Lemma 2.6 (Gagliardo-Nirenberg inequality[19]).** Let  $0 \leq m, \alpha \leq l$ , then we have

$$\|\Lambda^\alpha f\|_{L^p(\mathbb{R}^3)} \leq C \|\Lambda^m f\|_{L^q(\mathbb{R}^3)}^{1-\theta} \|\Lambda^l f\|_{L^r(\mathbb{R}^3)}^\theta,$$

where  $\theta \in [0, 1]$  and  $\alpha$  satisfies

$$\frac{\alpha}{3} - \frac{1}{p} = \left( \frac{m}{3} - \frac{1}{q} \right) (1 - \theta) + \left( \frac{l}{3} - \frac{1}{r} \right) \theta.$$

Here, when  $p = \infty$ , we require that  $0 < \theta < 1$ .

**Lemma 2.7.** [17] For all  $-\frac{3}{2} < \alpha < \frac{3}{2}$  and for all  $u$  divergence free in the sense that  $\xi \cdot \hat{u}(\xi) = 0$  almost everywhere,

$$\|S\|_{H^\alpha}^2 = \|A\|_{H^\alpha}^2 = \frac{1}{2} \|\omega\|_{H^\alpha}^2 = \frac{1}{2} \|\nabla \otimes u\|_{H^\alpha}^2, \tag{7}$$

where symmetric part  $S = S_{ij} = \frac{1}{2} \left( \frac{\partial u_j}{\partial x_i} + \frac{\partial u_i}{\partial x_j} \right)$ , which we refer to as the strain tensor, anti-symmetric part  $A = A_{ij} = \frac{1}{2} \left( \frac{\partial u_j}{\partial x_i} - \frac{\partial u_i}{\partial x_j} \right)$ ,  $\omega = \nabla \times u$ .

### 3. The proof of Theorem 1.1

The proof is based on the establishment of a priori estimate for  $u$  that allows us to extend the smooth solution beyond time  $T$ . We will establish corresponding prior estimates in two cases.

Testing the first equation of (1) by  $u$ , we see that

$$\frac{1}{2} \frac{d}{dt} \int_{\mathbb{R}^3} |u|^2 dx + \int_{\mathbb{R}^3} |\Lambda^\alpha u|^2 dx = 0.$$

**(I) Case 1:**  $S \in L^{\frac{2r\alpha}{2r\alpha-3}} \left( 0, T; B_{\infty,\infty}^{-\frac{3}{r}} \right)$ ,  $\frac{3}{2\alpha} < r < \infty$ .

Taking  $\nabla \times$  on the first equation of (1), one has

$$\partial_t \omega + (u \cdot \nabla) \omega + (-\Delta)^\alpha \omega - S \omega = 0, \tag{8}$$

taking the operator  $\nabla_{sym}$  (i.e.,  $S = \nabla_{sym}(u)_{ij} = \frac{1}{2} \left( \frac{\partial u_j}{\partial x_i} + \frac{\partial u_i}{\partial x_j} \right)$ ) to the first equation of (1) to obtain

$$\partial_t S + (u \cdot \nabla) S + (-\Delta)^\alpha S + S^2 + \frac{1}{4} \omega \otimes \omega - \frac{1}{4} |\omega|^2 I_3 + Hess(p) = 0, \tag{9}$$

where more details can refer to [17]. Multiplying (8) by  $\omega$  and integrating over  $\mathbb{R}^3$ , we have

$$\frac{1}{2} \frac{d}{dt} \|\omega\|_{L^2}^2 + \|\Lambda^\alpha \omega\|_{L^2}^2 = \int_{\mathbb{R}^3} S\omega \cdot \omega dx. \tag{10}$$

Testing (9) by  $S$  and integrating by parts in  $\mathbb{R}^3$  to obtain

$$\begin{aligned} \frac{1}{2} \frac{d}{dt} \|S\|_{L^2}^2 + \|\Lambda^\alpha S\|_{L^2}^2 &= - \int_{\mathbb{R}^3} S^2 \cdot S dx - \frac{1}{4} \int_{\mathbb{R}^3} \omega \otimes \omega \cdot S dx - \int_{\mathbb{R}^3} \text{Hess}(p) \cdot S dx \\ &\quad + \frac{1}{4} \int_{\mathbb{R}^3} |\omega|^2 I_3 \cdot S dx \\ &= - \int_{\mathbb{R}^3} S^2 \cdot S dx - \frac{1}{4} \int_{\mathbb{R}^3} \omega \otimes \omega \cdot S dx, \end{aligned} \tag{11}$$

where we used the facts that

$$\langle |\omega|^2 I_3, S \rangle_{L^2} = 0, \quad \langle \text{Hess}(p), S \rangle_{L^2} = 0.$$

Thanks to Lemma 2.5, it follows from (10) that

$$\frac{d}{dt} \|S\|_{L^2}^2 + 2\|\Lambda^\alpha S\|_{L^2}^2 = \int_{\mathbb{R}^3} S\omega \otimes \omega dx. \tag{12}$$

Combining (11) and (12), it yields that

$$\frac{d}{dt} \|S\|_{L^2}^2 + 2\|\Lambda^\alpha S\|_{L^2}^2 = - \frac{4}{3} \int_{\mathbb{R}^3} S^2 \cdot S dx. \tag{13}$$

To estimate the integral term  $-\frac{4}{3} \int_{\mathbb{R}^3} S^2 \cdot S dx$ , we will applying the Bony decomposition to

$$S^2 = R(S, S) + 2T_S S,$$

one concludes that

$$\int_{\mathbb{R}^3} S^2 \cdot S dx = \int_{\mathbb{R}^3} SR(S, S) + 2 \int_{\mathbb{R}^3} ST_S S.$$

It follows from Lemma 2.4 and Lemma 2.6 (Gagliardo-Nirenberg’s inequality) that

$$\begin{aligned} \int_{\mathbb{R}^3} SR(S, S) &\leq \|S\|_{B_{\infty,\infty}^{-\frac{3}{\sigma}}} \|R(S, S)\|_{B_{1,1}^{\frac{3}{\sigma}}} \\ &\leq C \|S\|_{B_{\infty,\infty}^{-\frac{3}{\sigma}}} \|S\|_{B_{2,2}^{\frac{3}{2\sigma}}}^2 \\ &\leq C \|S\|_{B_{\infty,\infty}^{-\frac{3}{\sigma}}} \|S\|_{H^{\frac{3}{2\sigma}}}^2 \\ &\leq C \|S\|_{B_{\infty,\infty}^{-\frac{3}{\sigma}}} \|S\|_{L^2}^{2-\frac{3}{\sigma r}} \|\Lambda^\alpha S\|_{L^2}^{\frac{3}{\sigma r}} \\ &\leq C \|S\|_{B_{\infty,\infty}^{-\frac{3}{\sigma}}}^{\frac{2\sigma r}{2\sigma r-3}} \|S\|_{L^2}^2 + \epsilon \|\Lambda^\alpha S\|_{L^2}^2. \end{aligned} \tag{14}$$

Applying Lemma 2.3 and the same tricks as (14) yields that

$$\begin{aligned} 2 \int_{\mathbb{R}^3} ST_S S &\leq C \|S\|_{B_{2,2}^{\frac{3}{2\sigma}}} \|T_S S\|_{B_{2,2}^{-\frac{3}{2\sigma}}} \\ &\leq C \|S\|_{B_{2,2}^{\frac{3}{2\sigma}}} \|S\|_{B_{\infty,\infty}^{-\frac{3}{\sigma}}} \|S\|_{B_{2,2}^{\frac{3}{2\sigma}}} \\ &\leq C \|S\|_{B_{\infty,\infty}^{-\frac{3}{\sigma}}}^{\frac{2\sigma r}{2\sigma r-3}} \|S\|_{L^2}^2 + \epsilon \|\Lambda^\alpha S\|_{L^2}^2. \end{aligned} \tag{15}$$

Putting (14) and (15) into (13), we get

$$\frac{d}{dt} \|S\|_{L^2}^2 + \|\Lambda^\alpha S\|_{L^2}^2 \leq C \|S\|_{B_{\infty,\infty}^{-\frac{3}{r}}}^{\frac{2\alpha r}{2\alpha r-3}} \|S\|_{L^2}^2. \tag{16}$$

Therefore Gronwall’s inequality implies that for all  $0 < t \leq T$  and  $\frac{3}{2\alpha} < r < \infty$ , one has

$$\sup_{0 < t \leq T} \|S\|_{L^2}^2 + \int_0^T \|\Lambda^\alpha S\|_{L^2}^2 dt \leq \|S_0\|_{L^2}^2 \exp C \int_0^T \|S\|_{B_{\infty,\infty}^{-\frac{3}{r}}}^{\frac{2\alpha r}{2\alpha r-3}} dt. \tag{17}$$

**(II) Case 2:**  $S \in L^1(0, T; B_{\infty,\infty}^0)$ .

Applying  $\Lambda^3 (\Lambda := (-\Delta)^{\frac{1}{2}})$  to equations (1) and multiplying the resulting equations by  $\Lambda^3 u$ , it yields that

$$\frac{1}{2} \frac{d}{dt} \|\Lambda^3 u(t)\|_{L^2}^2 + \|\Lambda^{3+\alpha} u\|_{L^2}^2 = - \int_{\mathbb{R}^3} [\Lambda^3, u \cdot \nabla] u \cdot \Lambda^3 u dx, \tag{18}$$

here and in what follows  $[\Lambda^s, f]g := \Lambda^s(fg) - f\Lambda^s g$  stands for the standard commutator notation. Moreover, we have used the following identity

$$\int_{\mathbb{R}^2} u \cdot \nabla \Lambda^3 u \cdot \Lambda^3 u dx = 0.$$

We now resort to the following bilinear commutator estimate [12]

$$\|[\Lambda^s, f]g\|_{L^p} \leq C (\|\nabla f\|_{L^{p_1}} \|\Lambda^{s-1} g\|_{L^{p_2}} + \|\Lambda^s f\|_{L^{p_3}} \|g\|_{L^{p_4}}), \tag{19}$$

with  $s > 0$  and  $p_2, p_3 \in (1, \infty)$  such that

$$\frac{1}{p} = \frac{1}{p_1} + \frac{1}{p_2} = \frac{1}{p_3} + \frac{1}{p_4}$$

According to inequality (19), we infer that

$$\begin{aligned} - \int_{\mathbb{R}^3} [\Lambda^3, u \cdot \nabla] u \cdot \Lambda^3 u dx &\leq \|[\Lambda^3, u \cdot \nabla] u\|_{L^2} \|\Lambda^3 u\|_{L^2} \\ &\leq C \|\nabla u\|_{L^\infty} \|\Lambda^3 u\|_{L^2}^2 \end{aligned} \tag{20}$$

Substituting (20) into (18), we obtain

$$\frac{d}{dt} \|\Lambda^3 u\|_{L^2}^2 + 2\|\Lambda^{3+\alpha} u\|_{L^2}^2 \leq C \|\nabla u\|_{L^\infty} \|\Lambda^3 u\|_{L^2}^2 \tag{21}$$

Taking into account (21), and using Lemma 2.5 with  $p = \rho = \infty$  and  $q = \sigma = s = 2$ , we get

$$\begin{aligned} \|\nabla u\|_\infty &\leq C \left(1 + \|\nabla u\|_{\dot{B}_{\infty,\infty}^0} \ln^+ \|\nabla u\|_{B_{2,2}^2}\right) \\ &\leq C \left(1 + \|\nabla u\|_{\dot{B}_{\infty,\infty}^0} \ln^+ C \left(1 + \|\nabla^3 u\|_2\right)\right). \end{aligned} \tag{22}$$

Since

$$S = \nabla_{\text{sym}} u \iff \nabla u = -2\nabla \operatorname{div}(-\Delta)^{-1} S,$$

we have  $(I - \dot{S}_2) \nabla u = (-\Delta)^{-1} \nabla \operatorname{div} (I - \dot{S}_2) S$  and

$$\begin{aligned} \|\nabla u\|_{\dot{B}_{\infty,\infty}^0} &\leq C + \|(I - \dot{S}_2) \nabla u\|_{\dot{B}_{\infty,\infty}^0} \\ &\leq C + \|(I - \dot{S}_2) S\|_{\dot{B}_{\infty,\infty}^0} \\ &\leq C + C \sup_{j \geq 1} \|\dot{\Delta}_j S\|_{L^\infty} \leq C + C \|S\|_{B_{\infty,\infty}^0}, \end{aligned}$$

where we used some facts in Besov spaces (see [26]). The following  $H^3$ -bound is an easy consequence of Gronwall's inequality

$$\max_{0 \leq t \leq T} \|u(t)\|_{H^3} < \infty.$$

Thus, the proof of Theorem 1.1 is immediately complete.

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